Marines Tracy L Form 3 January 11, 2019

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF Expires: January 31, 2005

0.5

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**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

Marines Tracy L

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

01/04/2019

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Armstrong Flooring, Inc. [AFI]

4. Relationship of Reporting Person(s) to Issuer

Director

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O ARMSTRONG FLOORING, INC., 2500 COLUMBIA AVENUE, P.O.

**BOX 3025** 

(Street)

(Check all applicable)

10% Owner Other

\_X\_\_ Officer (give title below) (specify below) VP and Controller

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

### LANCASTER, PAÂ 17603

(City) (State) (Zip)

1. Title of Security

(Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities

Beneficially Owned (Instr. 4)

Ownership

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Form: Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

5. 4. Conversion or Exercise

Price of

Derivative

Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership (Instr. 5)

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### Edgar Filing: Marines Tracy L - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Restricted Stock Unit (1)	(2)	(2)	Restricted Stock Unit	1,096	\$ 0	D	Â
Restricted Stock Unit (1)	(3)	(3)	Restricted Stock Unit	414	\$ 0	D	Â
Restricted Stock Unit (1)	(4)	(4)	Restricted Stock Unit	273	\$ 0	D	Â

# **Reporting Owners**

Reporting Owner Name / Address		Relationships				
	Director	10% Owner	Officer	Other		
Marines Tracy L C/O ARMSTRONG FLOORING, INC. 2500 COLUMBIA AVENUE, P.O. BOX 3025 LANCASTER Â PAÂ 17603	Â	Â	VP and Controller	Â		

## **Signatures**

/s/Christopher S. Parisi, Attorney-in-Fact

01/11/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of the Issuer's common stock under the Issuer's 2016 Long-Term Incentive Plan, as amended and restated.
- The restricted stock units were granted to the Reporting Person on March 7, 2018 and will vest as follows: (1) 365 on the first anniversary of the grant; (2) 365 on the second anniversary of the grant; and (3) 366 on the third anniversary of the grant (contingent upon the Reporting Person's employment with the Issuer on the scheduled vesting date, except as provided for in the Issuer's 2016 Long-Term Incentive Plan, as amended and restated).
- The restricted stock units were granted to the Reporting Person on March 7, 2017 and 206 have vested, the remaining units will vest as follows: (1) 207 on the second anniversary of the grant; and (2) 207 on the third anniversary of the grant (contingent upon the Reporting Person's employment with the Issuer on the scheduled vesting date, except as provided for in the Issuer's 2016 Long-Term Incentive Plan, as amended and restated).
- The restricted stock units were granted to the Reporting Person on April 11, 2016 and 545 have vested, the remaining 273 units will vest on April 11, 2019, the third anniversary of the grant (contingent upon the Reporting Person's employment with the Issuer on the scheduled vesting date, except as provided for in the Issuer's 2016 Long-Term Incentive Plan, as amended and restated).

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### Remarks:

### See Exhibit 24 Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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