Edgar Filing: Stauffer Joseph William - Form 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 chliattions	 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 							PROVAL 3235-0287 January 31, 2005 verage s per 0.5		
(Print or Type Response	s)									
1. Name and Address of Stauffer Joseph Wi	2. Issuer Name an Symbol Cara Therapeutic			-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (Fir C/O CARA THER INC.,, 4 STAMFO ELM ST, 9TH FLC	3. Date of Earliest T (Month/Day/Year) 10/17/2018	ransaction			Director 10% Owner X Officer (give title Other (specify below) below) Chief Medical Officer					
(Stre STAMFORD, CT (rreet) 4. If Amendment, Date Original Filed(Month/Day/Year)					 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person 				
(City) (Sta	te) (Zip)	Table I - Non-	Derivative S	Securi	ties Acqu	uired, Disposed of	, or Beneficiall	y Owned		
	saction Date 2A. Deen /Day/Year) Execution any (Month/D	n Date, if Transacti Code Day/Year) (Instr. 8)	Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common 10/17/ Stock	/2018	Code V $S_{(1)}^{(1)}$	Amount 10,472	(D) D	Price \$ 20.08 (2)	2,970	D			
Common 10/17/ Stock	/2018	S <u>(1)</u>	970	D	\$ 20.69 (3)	2,000	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day/ e	6. Date Exercisable and Expiration Date (Month/Day/Year)		le and ant of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
Repo	rting C	wners	Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Relationships **Reporting Owner Name / Address** Director 10% Owner Officer Other Stauffer Joseph William C/O CARA THERAPEUTICS, INC., Chief Medical Officer 4 STAMFORD PLAZA, 107 ELM ST, 9TH FLOOR STAMFORD, CT 06902 Signatures /s/Darren DeStefano, 10/19/2018 Attorney-in-Fact **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on September 7, 2018.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$19.60 - \$20.59, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the

(2) Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote to this Form 4.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$20.60 - \$20.75, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the

(3) Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in this footnote to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.