Koh Steven Form 4 September 05, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Koh Steven			2. Issuer Name <b>and</b> Ticker or Trading Symbol HOPE BANCORP INC [HOPE]			5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First)	(Middle) 3. Da	ate of Earliest	Transaction		(Check an ap	opinedore)		
3200 WIL 1400	SHIRE BLVD., S	•	nth/Day/Year 01/2018	)	X Direction of the delay of	er (give title _	10% Owner Other (specify elow)		
(Street)			Amendment,	Date Original	6. Individu	6. Individual or Joint/Group Filing(Check			
LOS ANO	GELES, CA 90010		(Month/Day/Y	(ear)		iled by One Rep	oorting Person n One Reporting		
(City)	(State)	(Zip)	Table I - Noi	n-Derivative Securitie	s Acquired, Disp	osed of, or Be	eneficially Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, i any (Month/Day/Year	Code	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

(IIISU. 3)	(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)		Owned Following	Direct (D) or Indirect	(IIIStr. 4)	
		Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock						54,014	I	KOH CHARITABLE FOUNDATION
Common Stock						3,226,092	I	KOH-WILSHIRE LP
Common Stock						608,261	I	STEVEN S KOH TR KOH-WILSHIRE TRUST UA DTD 06/04/04
Common						805	I	STEVEN

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Stock								SUKHWA KOH 2007 RETAINED ANNUITY TRUST	
Common Stock						805	I	JUNG OK KOH 2007 RETAINED ANNUITY TRUST	
Common Stock	09/01/2018	M	6,667	A	\$ 0	6,667	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amoun Underlying Securiti (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou Numb Share
Restricted Stock Units	(1)	09/01/2018		M		6,667	(2)	<u>(2)</u>	Common Stock	6,6
Non-qualified stock option (right to buy)	\$ 17.18						(3)	09/01/2026	Common Stock	30,0
Stock Option (right to buy)	\$ 5.02						08/01/2016	01/28/2022	Common Stock	105,
Stock Option (right to buy)	\$ 14.65						08/01/2016	08/25/2025	Common Stock	6,1

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
	X							

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Koh Steven 3200 WILSHIRE BLVD. SUITE 1400 LOS ANGELES, CA 90010

## **Signatures**

/s/ by Claire Hur as AIF for Steven Koh

09/05/2018

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units convert into common stock on a one-for-one basis.
  - Total 20,000 restricted stock units were granted on September 1, 2016 pursuant to the BBCN Bancorp, Inc. 2016 Incentive Compensation
- (2) Plan. Each restricted stock unit represents a contingent right to receive one share of HOPE Bancorp, Inc. common stock. 1/3 of the award vested immediately on the grant date, 1/3 vested on September 1, 2017, and remaining 1/3 will vest on September 1, 2018.
- (3) These options were granted on September 1, 2016 pursuant to the BBCN Bancorp, Inc. 2016 Incentive Compensation Plan. 1/3 of the options vested immediately on the grant date, with the remaining 2/3 vesting in two equal annual installments thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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