KIM JASON K Form 4/A November 15, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB

OMB APPROVAL

Number:

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SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * KIM JASON K	2. Issuer Name and Ticker or Trading Symbol HOPE BANCORP INC [HOPE]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
(Last) (First) (Middle)	3. Date of Earliest Transaction	(Check an applicable)		
3200 WILSHIRE BLVD., SUITE 1400	(Month/Day/Year) 09/01/2016	Director 10% Owner X Officer (give title Other (specify below) EVP & Chief Comm Banking Ofc		
(Street)	4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
	Filed(Month/Day/Year)	Applicable Line)		
LOS ANGELES, CA 90010	11/06/2017	_X_Form filed by One Reporting Person Form filed by More than One Reporting Person		

		•						Person		
(C	City)	(State) (Z	Zip) Table	e I - Non-D	erivative S	Securi	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title Securit (Instr.	ty	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Comr		09/01/2016		A	8,000 (1)	A	<u>(1)</u>	75,119	D	
Comr		09/01/2017		F	601	D	\$ 16.2	53,370 (2)	D	
Comr								4,667 (3)	I	By spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	:	Pate	7. Title and a Underlying S (Instr. 3 and	Securities	8. Pric Deriva Securi (Instr.
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Incentive Stock Option (right to buy)	\$ 17.18				<u>(4)</u>	09/01/2026	Common Stock	30,000	

Reporting Owners

Reporting Owner Name / Address	Relationships
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Director 10% Owner Officer Other

KIM JASON K
3200 WILSHIRE BLVD.
SUITE 1400
LOS ANGELES, CA 90010

EVP & Chief
Comm
Banking Ofc

Signatures

/s/Claire Hur as attorney-in-fact for Jason
K. Kim

11/15/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On 9/1/16, the reporting person was granted 8,000 Restricted Stock Units (RSU) pursuant to the 2016 Incentive Compensation Plan ("2016 ICP"). RSUs convert into common stock on a one-for-one basis and vest annually in five equal installments beginning on the first anniversary of the grant date. This grant of RSU was previously reported in Table II of Form 4 filed on 11/6/17, but is instead being reported in Table I of this amended filing.
- (2) The amount reported in Column 5 of Table I reflects the corrected amount of securities beneficially owned after the transactions reported on Forms 4 filed on 10/27/17, 10/30/17 and 11/6/17.

(3)

Reporting Owners 2

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Total of 4,667 shares reported in Column 5 of Table I reflects the corrected amount of securities beneficially owned by the reporting person's spouse after the transaction reported on Forms 4 filed on 8/2/17, 10/27/17, and 10/30/17.

- Options granted on September 1, 2016 pursuant to the 2016 ICP. Options vest annually in five equal installments on September 1st of 2017, 2018, 2019, 2020 and 2021.
- (5) The amount reported in Column 9 of Table II reflects the corrected number of derivative securities beneficially owned after the Forms 4 filed on 10/27/17 and 10/30/17, which had erroneously omitted 6,000 shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.