Edgar Filing: BECKLEY THOMAS P - Form 4

BECKLEY Form 4	THOMAS P										
November 1	3, 2017										
FORM	14 UNITED	STATES	SECII	DITIES	AND FY	сна	NCF CO	MMISSION		PROVAL	
		STATES			n, D.C. 20				OMB Number:	3235-0287	
if no lon	his box nger STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF								Expires:	January 31, 2005	
subject t Section Form 4 Form 5			SECU	RITIES			Estimated ar burden hour response	verage			
obligatio may cor <i>See</i> Instr 1(b).	ons Section 17(a) of the P	ublic U	tility Ho	lding Cor	npan	•	Act of 1934, 935 or Section			
(Print or Type	Responses)										
1. Name and Address of Reporting Person <u>*</u> BECKLEY THOMAS P			2. Issuer France and Frener of Fraung					5. Relationship of Reporting Person(s) to Issuer			
								(Check all applicable)			
(Last) (First) (Middle) 2655 SEELY AVENUE, BLDG. 5								Director 10% Owner X Officer (give title Other (specify below) below)			
2033 SEEL	I AVENUE, DL	DG. 5	11/08/2	2017				Sr. V	ice President		
							. Individual or Joint/Group Filing(Check Applicable Line)				
SAN JOSE	, CA 95134		Ň	ý	,		-	X_ Form filed by Or Form filed by Mo erson			
(City)	(State)	(Zip)	Tab	le I - Non-	Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	ity (Month/Day/Year) Execution Date, if Transactionor Disposed of . 3) any Code (Instr. 3, 4 and (Month/Day/Year) (Instr. 8) (A)				ed of ((D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
a				Code V		(D)	Price	(Instr. 3 and 4)			
Common Stock	11/08/2017			S	31,803 (1)	D	\$ 44.0886	225,863	D		
Common Stock	11/08/2017			М	11,666	А	\$ 13.81	237,529	D		
Common Stock	11/08/2017			S	11,666 (1)	D	\$ 44.1034	225,863	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of iorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Secur (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Am or Nu of S
Non-Qualified Stock Option (right to buy)	\$ 13.81	11/08/2017		М		11,666	03/04/2014	02/04/2021	Common Stock	11

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
BECKLEY THOMAS P 2655 SEELY AVENUE, BLDG. 5 SAN JOSE, CA 95134			Sr. Vice President					
Signatures								
Yoonie Y. Chang, Attorney-in-Fact for Thomas P. Beckley			11/13/2017					
<u>**</u> Signature of Reporting Per	son		Date					
Explanation of Responses:								

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The transaction reported in this Form 4 was effected pursuant to a Rule 10b5-1 Trading Plan adopted by the Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.