

FISERV INC
Form 4
June 01, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
GUPTA RAHUL

(Last) (First) (Middle)

255 FISERV DRIVE

(Street)

BROOKFIELD, WI 53045

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
FISERV INC [FISV]

3. Date of Earliest Transaction (Month/Day/Year)
05/27/2016

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

Group President

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock	05/27/2016		M		14,444 A \$ 23.85	95,798 ⁽¹⁾	D
Common Stock	05/27/2016		F		8,819 ₍₂₎ D \$ 104.97	86,979	D
Common Stock	05/27/2016		M		15,364 A \$ 30.86	102,343	D
Common Stock	05/27/2016		F		9,897 ₍₂₎ D \$ 104.98	92,446	D
Common Stock	05/27/2016		M		25,528 A \$ 32.64	117,974	D

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Common Stock	05/27/2016	F	16,662 (2)	D	\$ 104.99	101,312	D
Common Stock	05/27/2016	M	27,860	A	\$ 40.35	129,172	D
Common Stock	05/27/2016	F	19,215 (2)	D	\$ 104.99	109,957	D
Common Stock	05/27/2016	S	28,603	D	\$ 105.01 (3)	81,354	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 23.85	05/27/2016		M	14,444	02/24/2011 ⁽⁴⁾	02/24/2020	Common Stock	14,444
Stock Option (right to buy)	\$ 30.86	05/27/2016		M	15,364	02/23/2012 ⁽⁵⁾	02/23/2021	Common Stock	15,364
Stock Option (right to buy)	\$ 32.64	05/27/2016		M	25,528	02/22/2013 ⁽⁶⁾	02/22/2022	Common Stock	25,528
Stock Option (right to buy)	\$ 40.35	05/27/2016		M	27,860	02/20/2014 ⁽⁷⁾	02/20/2023	Common Stock	27,860

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
GUPTA RAHUL 255 FISERV DRIVE BROOKFIELD, WI 53045			Group President	

Signatures

/s/ Lynn S. McCreary
(attorney-in-fact)

06/01/2016

__Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 243 shares acquired under the Fiserv, Inc. Employee Stock Purchase Plan.
- (2) Reflects payment of exercise price and tax liability by withholding securities incident to exercise of stock options.
This transaction was executed in multiple trades at prices ranging from \$104.95 to \$105.01. The price reported above reflects the
- (3) weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (4) The option vested in three equal installments on February 24, 2011, 2012 and 2013.
- (5) The option vested in three equal installments on February 23, 2012, 2013 and 2014.
- (6) The option vested in three equal installments on February 22, 2013, 2014 and 2015.
- (7) The option vested in three equal installments on February 20, 2014, 2015 and 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.