

Fortress Investment Group LLC  
 Form 4  
 February 04, 2016

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Bass Daniel

2. Issuer Name and Ticker or Trading Symbol  
 Fortress Investment Group LLC  
 [FIG]

5. Relationship of Reporting Person(s) to Issuer  
 (Check all applicable)

(Last) (First) (Middle)  
 1345 AVENUE OF THE AMERICAS, 47 FL  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 02/03/2016

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
 Chief Financial Officer

NEW YORK, NY 10105

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |         |   |                                |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|---------|---|--------------------------------|
|                                 |                                      |  |                                | Code V  | Amount  | (A) or (D)   | Price                             |         |   |                                |
| Class A Shares                  | 12/07/2015                           |  | G                              | V   | 5,000   | D  | \$ 0<br>(1)                       | 81,576  | D |                                |
| Class A Shares                  | 02/03/2016                           |  | C                              |   | 32,181  | A  | (2)                               | 113,757 | D |                                |
| Class A Shares                  | 02/03/2016                           |  | F                              |   | 16,606  | D  | \$ 4.01                           | 97,151  | D |                                |
| Class A Shares                  |                                      |  |                                |   |   |  |                                   | 38,000  | I | 2013 Grantor Annuity Trust (3) |

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|                   |         |   |  |
|-------------------|---------|---|--|
| Class A<br>Shares | 225,000 | I | 2015<br>Grantor<br>Annuity<br>Trust <sup>(3)</sup> |
|-------------------|---------|---|--|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price<br>of Underlying<br>Securities<br>(Instr. 3 and 4) |                                     |
|---|--|---|---|--------------------------------------|--|--|--|---|-------------------------------------|
|   |  |   |   | Code                                 | V (A) (D)  | Date<br>Exercisable  | Expiration<br>Date   | Title   | Amount<br>or<br>Number<br>of Shares |
| Restricted<br>Share<br>Units                        | <u>(2)</u>   | 02/03/2016                              |   | C                                    | 32,181   | <u>(4)</u>   | <u>(5)</u>   | Class<br>A<br>Shares  | 32,181                              |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                         |       |
|---|---------------|-----------|-------------------------|-------|
|   | Director      | 10% Owner | Officer                 | Other |
| Bass Daniel<br>1345 AVENUE OF THE AMERICAS, 47 FL<br>NEW YORK, NY 10105 |               |           | Chief Financial Officer |       |

## Signatures

/s/ Daniel N.  
Bass

02/04/2016

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were donated by the reporting person.

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- (2) Each Restricted Share Unit represents a contingent right to one Class A Share.
- (3) The reporting person disclaims beneficial ownership except to the extent of his pecuniary interest therein.
- (4) See Issuer Proxy statement for information on vesting dates.
- (5) The rights attaching to Restricted Share Units generally terminate upon termination of employment.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.