Edgar Filing: SYNCHRONOSS TECHNOLOGIES INC - Form 4

SYNCHRONOSS TECHNOLOGIES INC

Form 4

January 07, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

2 Januar Nama and Tielzer or Tradina

OMB Number:

3235-0287

Expires:

5 Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

Stock

obligations

(Print or Type Responses)

1. Name and Address of Reporting Person *

CADOGAN WILLIAM J			2. Issuer Name and Ticker or Trading Symbol SYNCHRONOSS TECHNOLOGIES INC [SNCR]					Issuer (Check all applicable)		
(Last) 81 SEAGA	` ,	(Middle)	3. Date of (Month/D) 01/05/2	-	ransaction			_X_ Director Officer (give below)		Owner er (specify
NAPLES, F	(Street)			endment, Da nth/Day/Year	_	I		6. Individual or Joint/Group Filing(Chec Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	Securi	ties Acq	uired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)) Executio any	ned n Date, if Day/Year)	3. Transaction Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/05/2016			M	10,000	A	\$ 14	240,433	D	
Common Stock	01/05/2016			S	10,000	D	\$ 33.94	230,433	D	
Common Stock	01/05/2016			A	3,582 (1)	A	\$ 0	234,015	D	
Common								50,000	I	See Footnote

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(2)

Edgar Filing: SYNCHRONOSS TECHNOLOGIES INC - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

SEC 1474 (9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	An or No
Stock Option (Right to Buy)	\$ 14	01/05/2016		M		10,000	01/03/2008(3)	01/03/2017	Common Stock	1
Stock Option (Right to Purchase)	\$ 33.5	01/05/2016		A	6,514		01/05/2017(4)	01/05/2023	Common Stock	ϵ

Reporting Owners

Reporting Owner Name / Address	Keiauonsnips						
	Director	10% Owner	Officer	Other			
CADOGAN WILLIAM J							
21 SEAGATE DRIVE	Y						

NAPLES, FL 34103

Signatures

/s/ William J. 01/07/2016 Cadogan

**Signature of Reporting Person

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares of restricted stock granted pursuant to the Company's 2006 Equity Incentive Plan.

These shares were purchased by Barbara Cadogan, the wife of the Reporting Person. The Reporting Person disclaims beneficial (2) ownership of these securities except to the extent of his pecuniary interest therein, and the inclusion of these shares in the report shall not be deemed an admission of beneficial ownership of all of the reported shares for purposes of Section 16 for any other purpose.

Reporting Owners 2

Edgar Filing: SYNCHRONOSS TECHNOLOGIES INC - Form 4

- (3) The option became exercisable with respect to 1/12th of the shares subject to the option when the Reporting Person completed each month of continuous service after 1/8/2007.
- (4) The option shall become exercisable with respect to 1/3rd of the shares subject to the option when the Reporting Person completes each year of continuous service after 1/5/2016

Remarks:

All of the sales reported on this Form were effected pursuant to an approved Rule 10b5-1 trading plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.