AMERICAN STATES WATER CO

Form 4

September 28, 2015

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

Check this box

Expires:

OMB APPROVAL

January 31, 2005

0.5

if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

response...

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * TANG EVA G

(First)

(Street)

(State)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to

Symbol

AMERICAN STATES WATER CO

(Check all applicable)

[AWR]

Director

10% Owner

(Middle) 3. Date of Earliest Transaction

(Month/Day/Year)

Other (specify _X__ Officer (give title below)

1544 MANOR GATE DRIVE 09/24/2015

Sr. Vice President & CFO 6. Individual or Joint/Group Filing(Check

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Issuer

HACIENDA HEIGHTS, CA 91745-3833

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securities our Disposed (Instr. 3, 4 and	of (D) nd 5) (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Shares	06/01/2015		Code V A	Amount 24.1407 (1)	(D)	Price \$ 38.61	22,475.1762	D	
Common Shares	09/01/2015		A	26.6743 (2)	A	\$ 36.95	22,771.8505	D	
Common Shares	09/05/2015		A	287.7019 (3)	A	\$ 0	23,059.5524	D	
Common Shares	09/24/2015		M	1,000	A	\$ 19.31	24,059.5524	D	
Common Shares	09/24/2015		S	1,000	D	\$ 41.25	23,059.5524	D	

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Common Shares	09/25/2015	M	1,000	A	\$ 19.31	24,059.5524	D
Common Shares	09/25/2015	S	1,000	D	\$ 41.75	23,059.5524	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 19.31	09/24/2015		M	1,000	01/01/2008	01/01/2017	Common Shares	1,000
Employee Stock Option (Right to Buy)	\$ 19.31	09/25/2015		M	1,000	01/01/2008	01/01/2017	Common Shares	1,000

Reporting Owners

Reporting Owner Name / Address	· · · · · · · · · · · · · · · · · · ·						
	Director	10% Owner	Officer	Other			
TANG EVA G			Sr. Vice				
1544 MANOR GATE DRIVE			President &				
HACIENDA HEIGHTS, CA 91745-3833			CFO				

2 Reporting Owners

Relationships

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Signatures

/s/ Eva G. Tang 09/28/2015

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) DER units credited on 6/1/2015 as dividend at FMV
- (2) DER units credited on 9/1/2015 as dividend at FMV
- (3) Updated DRIP

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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