Edgar Filing: HARMONIC INC - Form 4

Form 4 August 18, 20											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION								OMB A	OMB APPROVAL		
		ITIES AI hington, I	OMB Number:	3235-0287							
Check this if no long subject to Section 16 Form 4 or Form 5	er STATE 5. Filed p									Expires:January 31Expires:2005Estimated averageburden hours perresponse0.5	
obligation may conti <i>See</i> Instru 1(b).	nue. Section 1			ility Hold vestment (•	- ·		f 1935 or Sectio 40	n		
(Print or Type R	esponses)										
			2. Issuer Name and Ticker or Trading Symbol HARMONIC INC [HLIT]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (C					(Chec	eck all applicable)		
4300 NORTH FIRST STREET			(Month/Day/Year) 08/15/2015					Director 10% Owner X Officer (give title Other (specify below) below) SVP and GM, Edge Business			
				Amendment, Date Original d(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
	CA 95154							Person			
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	Securi	ties Ac	quired, Disposed of	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	any		emed on Date, if Day/Year)	3. Transactio Code (Instr. 8)	4. Securi onAcquired Disposed (Instr. 3,	l (A) c l of (D)	Securities Beneficially Owned Following	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V		(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Common Stock	08/15/2015			М	5,000 (1)	А	\$0	5,000	D		
Common Stock	08/15/2015			М	6,250 (2)	А	\$0	11,250	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	onof Do Secu Acqu (A) o Disp (D)	rities nired or osed of r. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	\$ 0	08/15/2015		М		5,000	02/15/2013	02/15/2016	Common Stock	5,000
Restricted Stock Units	\$ 0	08/15/2015		М		6,250	02/15/2015	02/15/2016	Common Stock	6,250

Reporting Owners

Reporting Owner Name / Address	Relationships							
, g	Director	10% Owner	Officer	Other				
Ben-Natan Nimrod 4300 NORTH FIRST STREET SAN JOSE, CA 95134			SVP and GM, Edge Business					
Signatures								
/s/ Laura Donovan By Attorney-in-F Donovan	/s/ Laura Donovan By Attorney-in-Fact: Laura Donovan							
<u>**</u> Signature of Reporting Person	n	1	Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares of common stock were acquired upon the vesting of restricted stock units on 8/15/2015. These restricted stock units were initially granted to the Reporting Person on 2/28/2012, and were identified on a Form 4 filed by the Reporting Person on 3/1/2012.
- (2) These shares of common stock were acquired upon the vesting of restricted stock units on 8/15/2015. These restricted stock units were initially granted to the recipient on 3/14/2014, and were identified on a Form 4 filed 3/18/2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.