Edgar Filing: FOX FACTORY HOLDING CORP - Form 4

FOX FACTORY HOLDING CORP Form 4 September 04, 2014 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Glasman Zvi Issuer Symbol FOX FACTORY HOLDING CORP (Check all applicable) [FOXF] 10% Owner (Last) (First) (Middle) 3. Date of Earliest Transaction Director X_Officer (give title Other (specify (Month/Day/Year) below) below) C/O FOX FACTORY HOLDING 09/02/2014 CFO and Treasurer CORP., 915 DISC DRIVE (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) _X_ Form filed by One Reporting Person _ Form filed by More than One Reporting SCOTTS VALLEY, CA 95066 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 6. Ownership 7. Nature of 1.Title of 3. 4. Securities Acquired 5. Amount of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) any Code (D) Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Ownership (Month/Day/Year) (Instr. 8) Owned Indirect (I) (Instr. 4) Following (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Price (D) Amount Common **D**⁽¹⁾ 09/02/2014 69,675 \$0 D 214,676 D Stock Shares held by Zvi & Common 92,900 I Marlise Stock Glasman Family Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4. 5. 6. Date Exercisable and		7. Title and Amount of		8. Pr		
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration Date		Underlying Securities		Deri
Security	or Exercise		any	Code	of	(Month/Day/	/Year)	(Instr. 3 and 4)		Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative					(Inst	
	Derivative				Securities					
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option to Purchase Common Stock	\$ 5.16					(2)	06/15/2022	Common Stock	106,231	

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Glasman Zvi C/O FOX FACTORY HOLDING CORP. 915 DISC DRIVE SCOTTS VALLEY, CA 95066			CFO and Treasurer			
Signatures						
/s/ Zvi Glasman, by David Haugen as attorney-in-fact		09/04/2014				
**Signature of Reporting Person		Da	ate			

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reflects the forfeiture of an aggregate of 69,675 unvested time-based restricted stock units on September 2, 2014 pursuant to the amendment of a prior Restricted Stock Unit Award Agreement between the Reporting Person and the Company. In connection with the amendment to the prior Restricted Stock Unit Award Agreement, on September 2, 2014, the Company and the Reporting Person entered

(1) into a new Restricted Stock Unit Award Agreement, pursuant to which the Company granted the Reporting Person 69,675 performance-based restricted stock units, not reported on this Form 4. These shares will be reflected on subsequent Form 4s if and when the performance criteria are met. Should all the performance-based restricted stock units granted on September 2, 2014 to the Reporting Person vest, the number of shares acquired upon such vesting will equal the number of forfeited shares reported on this Form 4.

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(2) 50% of the option shares vested on June 15, 2013 and 50% of the option shares vested on June 15, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.