Edgar Filing: HERCULES TECHNOLOGY GROWTH CAPITAL INC - Form 4

HERCULES Form 4 March 10, 20	TECHNOLOG	GY GROW	TH CAP	ITAL ING	C					
FORM							OMB APPROVAL			
	UNITE	D STATES		LITIES A			NGE C	OMMISSION	OMB Number:	3235-0287
Check the if no long									Expires:	January 31,
subject to Section 1	.6. SIAIF								Estimated average burden hours per	
Form 4 o Form 5 obligation may cont <i>See</i> Instru 1(b).	Filed p ns Section 1								response	0.5
(Print or Type F	Responses)									
1. Name and A Jaquez-Fisso	Symbol	2. Issuer Name and Ticker or Trading Symbol HERCULES TECHNOLOGY				5. Relationship of Reporting Person(s) to Issuer				
				TH CAPI			FGC]	(Check all applicable)		
GROWTH	(First) ULES TECHNO CAPITAL,, 400 N AVE., SUITI)	3. Date of (Month/D 03/09/20		ansaction			Director X Officer (give below) Senior M		Owner er (specify tor
	(Street)	reet) 4. If Amendment, Date Original 6. Individu				6. Individual or Jo Applicable Line)	al or Joint/Group Filing(Check			
PALO ALT	O, CA 94301				, 			_X_Form filed by C Form filed by M Person		
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction D (Month/Day/Yea	ar) Executio any	med n Date, if Day/Year)	3. Transactio Code (Instr. 8) Code V	on(A) or Di (Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
Common Stock	03/09/2013			F	192 <u>(1)</u>	, í	\$ 14.66	67,210	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 2. 3. Transaction Date 3A. Deemed 5. 6. Date Exercisable and 7. Title and 8. Price of 9. Nt 4. (Month/Day/Year) Derivative Conversion Execution Date, if TransactionNumber **Expiration Date** Amount of Derivative Deriv Underlying Security or Exercise any Code of (Month/Day/Year) Security Secu (Instr. 3) Price of (Month/Day/Year) (Instr. 8) Securities (Instr. 5) Derivative Bene Derivative (Instr. 3 and 4) Securities Own Security Acquired Follo (A) or Repo Disposed Trans of (D) (Insti (Instr. 3, 4, and 5) Amount or Date Expiration Title Number Exercisable Date of Shares Code V (A) (D)

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Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Jaquez-Fissori Todd C/O HERCULES TECHNOLOGY GROWTH CAPITAL, 400 HAMILTON AVE., SUITE 310 PALO ALTO, CA 94301			Senior Managing Director			
Signatures						
/s/ Michael Penney, Attorney in Fact for Todd Jaquez-Fissori	03/10/	2014				
**Signature of Reporting Person	Date	e				
Explanation of Responses:						
* If the form is filed by more than one reporting person, <i>see</i> Instruction 4	(b)(v).					

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents shares of common stock withheld to pay taxes applicable to the vesting of restricted stock on March 9, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.