Edgar Filing: SPLUNK INC - Form 4

SPLUNK IN	C											
Form 4												
November 0	1, 2013											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL				
	UNITE	DSTATES					NGE C	OMMISSION	OMB Number:	3235-0287		
Check this box				snington,	shington, D.C. 20549					January 31,		
if no long		MENT O	F CHAN	IGES IN	RENEE	ICIA		JERSHIP OF	Expires: 200			
subject to Section 16 STATEMENT OF CHANGES IN BENEFICIAL OWNE							verage					
Section 1 Form 4 o				bleen					burden hours per response			
Form 5		ursuant to S	Section 1	6(a) of th	e Securi	ties E	Exchange	e Act of 1934,	response 0.5			
obligation	ns Section 1						-	1935 or Section	1			
may cont See Instru	inue.			vestment	•	-	•					
1(b).												
(Print or Type I	Responses)											
1 Name and A	ddress of Reporti	ng Person *	2.1	N	. T. 1	т I'		5 Relationship of	Reporting Pers	on(s) to		
1. Name and Address of Reporting Person * Neustaetter Thomas M2. Issuer Symbol				r Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer				
			-	IK INC [SPLK]								
(1+)								(Check all applicable)				
(Last)	(First)	(Middle)		f Earliest Ti	ransaction			X Director	10%	Owner		
C/O IK&B	CAPITAL, TW	/ O	10/30/2	Day/Year)				_X_ Director 10% Owner Officer (give title Other (specify				
PRUDENTIAL PLAZA, 180 N.			.015				below) below)					
STETSON												
			endment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 					
CHICAGO,	IL 60601											
(City)	(State)	(Zip)	Tab	la I Non I	Domissotisso	Soon	ritios A og	uired, Disposed of	or Ponoficial	v Ownod		
1.77.4							-			-		
1.Title of Security	2. Transaction Da (Month/Day/Yea			3. 4. Securities Acquired Transaction(A) or Disposed of (D)				5. Amount of Securities	6. Ownership	7. Nature of Indirect		
(Instr. 3)	(Woldin Day) 1 ca	any	,		(Instr. 3,	~		Beneficially	Form: Direct			
(Month/Day/Year)			Day/Year)					Owned	(D) or	Ownership		
								Following Reported	Indirect (I) (Instr. 4)	(Instr. 4)		
						(A)		Transaction(s)	(111501. 4)			
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
-					mount	(D)	\$					
Common	10/30/2013			S (1)	1,500	D	¢ 62.674	89,603	D			
Stock				_	-,- 00		(2)					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transactio	5. onNumber	6. Date Exer Expiration D		7. Titl Amou		8. Price of Derivative	9. Nu Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	. 3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
						Date Exercisable	Expiration Date	Title	Amount or Number of		
				Code V	(A) (D)				Shares		

Reporting Owners

N С

С S

at

	Relationships						
Reporting Owner Name / Add	Director	10% Owner	Officer	Other			
Neustaetter Thomas M C/O JK&B CAPITAL, TWO PRUDEN' 180 N. STETSON DRIVE CHICAGO, IL 60601	TIAL PLAZA	Х					
Signatures							
/s/ Steve Dean, by power of attorney	11/01/2013						
<u>**Signature of Reporting Person</u>	Date						

Explanation of Responses:

- If the form is filed by more than one reporting person, *see* Instruction 4(b)(v). *
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale reported on this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on March 4, 2013.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$62.17
- (2) to \$63.08, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.