LyondellBasell Industries N.V. Form 8-K October 29, 2013

## SECURITIES AND EXCHANGE COMMISSION

## **WASHINGTON, DC 20549**

#### FORM 8-K

## **CURRENT REPORT**

Pursuant to Section 13 or 15(d)

of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): October 29, 2013

## LYONDELLBASELL INDUSTRIES N.V.

(Exact Name of Registrant as Specified in Charter)

The Netherlands 001-34726 98-0646235 (State or Other Jurisdiction (Commission (IRS Employer

of Incorporation) File Number) Identification No.)

1221 McKinney St., 1 Berkeley Street Stationsplein 45

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Suite 300 Mayfair, London 3013 AK Rotterdam

Houston, Texas The United Kingdom The Netherlands

USA 77010 W1J8DJ
(Addresses of principal executive offices)

(713) 309-7200 +44 (0)20 7016 9527 +31 (0)10 275 5500
(Registrant s telephone numbers, including area codes)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

## Item 2.02. Results of Operations and Financial Condition.

LyondellBasell Industries N.V. (the Company ) has issued a press release this morning, October 29, 2013, announcing its earnings for the quarter ended September 30, 2013, a copy of which is furnished herewith as Exhibit 99.1.

## Item 7.01. Regulation FD Disclosure.

The Company is holding a conference call to discuss its third quarter 2013 results on October 29, 2013. Information about the call can be found in the press release furnished herewith as Exhibit 99.1.

A copy of the slide materials to be discussed on the conference call, which will be available at the time of the teleconference and afterwards, are furnished herewith as Exhibit 99.2. The slides can be accessed on the Company s website at <a href="https://www.lyondellbasell.com">www.lyondellbasell.com</a>.

#### Item 8.01. Other Events.

In connection with a routine review of the Company s compliance with the provisions of the New York Stock Exchange Listed Company Manual, the NYSE requested the Company clarify and supplement certain disclosures in its proxy statement for the 2013 Annual General Meeting of Shareholders, as described below.

<u>Presiding Director:</u> The Chairman of the Supervisory Board has been selected to preside over all executive sessions in which Supervisory Directors meet without management participation as well as the required annual executive sessions of the Company s independent Supervisory Directors only. Robert G. Gwin, as the Chairman of the Supervisory Board, presides over all such sessions.

Disclosure regarding the director chosen to preside over executive sessions will be made on a going forward basis in the Company s future annual meeting proxy statements, as well as in any other filings requiring such disclosures.

#### Item 9.01. Financial Statements and Exhibits.

- (d) Exhibits
- 99.1 Press Release dated October 29, 2013
- 99.2 Presentation LyondellBasell Industries N.V. Third Quarter 2013 Earnings

## **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has caused this report to be signed on its behalf by the undersigned, hereunto duly authorized.

Date: October 29, 2013

LYONDELLBASELL INDUSTRIES N.V.

By: /s/ Craig B. Glidden Craig B. Glidden

Executive Vice President

#### **Exhibit Index**

## **Exhibit** Description

- 99.1 Press Release dated October 29, 2013
- 99.2 Presentation LyondellBasell Industries N.V. Third Quarter 2013 Earnings
- >\_Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options vest in three annual installments beginning on 01/24/2014.
- (2) No conversion or exercise price applies. Under the terms of the Stock Incentive Plan (SIP), a restricted stock unit converts to a share of common stock on the applicable vesting date.
- (3) The units will vest on 01/24/2016.
  - No conversion or exercise price or exercise or expiration date applies. Under the terms of the Stock Incentive Plan (SIP), all, some or
- (4) none of the underlying securities will be earned depending on the Company's performance relative to an industry peer group over a three-year performance period ending 12/31/2015.
- (5) Total includes the reinvestment of dividends.
- (6) No conversion or exercise price or exercise or expiration date applies.
- (7) Represents forfeiture of performance units granted in 2010 due to Company performance below minimum "total shareowner return" threshold at expiration of three-year performance period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.