BRUEGENHEMKE KATHLEEN L
Form 4
November 21, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BRUEGENHEMKE KATHLEEN L

(Last) (First) (Middle)

5859 ROCKY POINT COURT

(Street)

COLUMBIA, MO 65202

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading Symbol
HAWTHORN BANCSHARES, INC. [HWBK]

3. Date of Earliest Transaction (Month/Day/Year)
11/18/2011

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)
_____ Director _____ 10% Owner
__X__ Officer (give title below) _____ Other (specify below)
Senior Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock								2,690 (1)	I	Retirement Account
Common Stock								22,612.3123 (1)	I	Profit Sharing Trust
Common Stock	11/18/2011	11/18/2011	P		1,000	A	$ 6.21	1,427.223 (1)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. P Der Sec (Ins
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (right to buy)	$ 17.26							02/14/2003	02/14/2012	Common Stock	2,203	
Employee Stock Option (right to buy)	$ 24.57							03/03/2004	03/03/2013	Common Stock	1,579	
Employee Stock Option (right to buy)	$ 32.59							02/19/2005	02/19/2014	Common Stock	1,220	
Employee Stock Option (right to buy)	$ 26.3							04/21/2006	04/21/2015	Common Stock	1,583	
Employee Stock Option (right to buy)	$ 27.69							03/03/2007	03/03/2016	Common Stock	1,553	
Employee Stock Option	$ 30.97							04/27/2008	04/27/2017	Common Stock	1,614	

(right to buy)						
Employee Stock Option (right to buy)	$ 19.42		09/22/2009	09/22/2018	Common Stock	1,338

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BRUEGENHEMKE KATHLEEN L 5859 ROCKY POINT COURT COLUMBIA, MO 65202			Senior Vice President	

Signatures

/s/ Kathleen L. Bruegenhemke	11/21/2011
**Signature of Reporting Person	Date

Explanation of Responses:

***** If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

****** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes shares acquired since last report pursuant to Company DRIP program. Includes shares acquired since last report from the Company's July 1, 2011 4% stock dividend.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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