## Edgar Filing: Murphy J Andrew - Form 4

| Murphy J Ar<br>Form 4   | ndrew         |  |   |             |                        |  |                             |   |   |              |  |  |
|---|---------------|--|---|-------------|------------------------|--|-----------------------------|---|---|--------------|--|--|
| December 22   | 2, 2009       |  |   |             |                        |  |                             |   |   |              |  |  |
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION             |               |  |   |             |                        |  |                             |   | OMB AF  | OMB APPROVAL |  |  |
|   |               | SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549 |   |             |                        |  | OMB<br>Number:              | 3235-0287   |   |              |  |  |
| Section 16.<br>Form 4 or  |               |  | OF CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES                             |             |                        |  |                             |   | Expires:January 3Expires:200Estimated averageburden hours perresponse0. |              |  |  |
| Form 5<br>obligation<br>may cont<br><i>See</i> Instru<br>1(b).      | ns Section 1' | 7(a) of the  | Public U  |             | ling Cor               | npan   | y Act of                    | e Act of 1934,<br>7 1935 or Section<br>0  | 1   |              |  |  |
| (Print or Type F  | Responses)    |  |   |             |                        |  |                             |   |   |              |  |  |
| 1. Name and Address of Reporting Person <u>*</u><br>Murphy J Andrew |               |  | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>NRG ENERGY, INC. [NRG] |             |                        |  |                             | 5. Relationship of Reporting Person(s) to<br>Issuer   |   |              |  |  |
| (Last)  | (First)       | (Middle)   | 3. Date of Earliest Transaction   |             |                        |  |                             | (Checl  | eck all applicable)   |              |  |  |
| NRG ENERGY, INC., 211<br>CARNEGIE CENTER                            |               |  | (Month/Day/Year)<br>12/18/2009  |             |                        |  |                             | Director 10% Owner<br>X Officer (give title Other (specify<br>below) below)<br>Exec VP & Pres, NE Region  |   |              |  |  |
|   | Filed(Mor     |  |   |             | te Origina)            | 1  |                             | <ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul> |   |              |  |  |
| PRINCETO  | N, NJ 08540   |  |   |             |                        |  |                             | Person  |   | 1 8          |  |  |
| (City)  | (State)       | (Zip)  | Tabl  | e I - Non-D | erivative              | Secur  | ities Acq                   | uired, Disposed of  | , or Beneficial   | ly Owned     |  |  |
| (Instr. 3) any  |               | r) Execution<br>any  | on Date, if Transaction(A) o  |             |                        | Securities Acquired<br>A) or Disposed of (D)<br>nstr. 3, 4 and 5)<br>(A)<br>or |                             | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)  | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4)    |              |  |  |
| Common<br>Stock, par<br>value .01<br>per share                      | 12/18/2009    |  |   | Code V      | Amount<br>2,108<br>(1) |  | Price<br>\$<br>25.45<br>(1) | (Instr. 3 and 4)<br>16,821  | D   |              |  |  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.<br>onNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | Unde<br>Secur | unt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secu<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|---------------|--|---|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title         | Amount<br>or<br>Number<br>of<br>Shares |   |  |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>   | Relationships |           |                           |       |  |  |  |
|---|---------------|-----------|---------------------------|-------|--|--|--|
| 1 0   | Director      | 10% Owner | Officer                   | Other |  |  |  |
| Murphy J Andrew<br>NRG ENERGY, INC.<br>211 CARNEGIE CENTER<br>PRINCETON, NJ 08540 |               |           | Exec VP & Pres, NE Region |       |  |  |  |
| Signatures  |               |           |                           |       |  |  |  |
| /s/Tanuja M. Dehne, under Pow<br>Attorney   | ver of        | 1         | 12/22/2009                |       |  |  |  |
| **Signature of Reporting Person   | I             |           | Date                      |       |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

On December 18, 2006, Mr. Murphy was issued 6,300 Restricted Stock Units ("RSU's") by NRG Energy, Inc. under NRG Energy, Inc.'s Long Term Incentive Plan (the "LTIP"). Each RSU is equivalent in value to one share of NRG's Common Stock, par value \$0.01. On December 18, 2009, 6,300 of the originally granted 6,300 RSUs vested. Pursuant to the LTIP, Mr. Murphy elected to satisfy his tax

December 18, 2009, 0,500 of the originary granted 0,500 KSOS vested. Fursuant to the ETTP, Mr. Multiply elected to satisfy his tax withholding obligation upon the exchange of common stock for RSU's by surrendering a number of shares of common stock having a value on the date of the exchange equal to the tax withholding obligation. This form is being filed to reflect the surrender of 2,108 shares of common stock to satisfy the grantee's tax withholding obligation.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.