

HELMERICH HANS
Form 4
August 09, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
HELMERICH HANS

(Last) (First) (Middle)

C/O CIMAREX ENERGY
CO., 1700 LINCOLN STREET,
SUITE 3700

(Street)

DENVER, CO 80203

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
CIMAREX ENERGY CO [XEC]

3. Date of Earliest Transaction
(Month/Day/Year)
08/09/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
___ Officer (give title below) ___ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | | (A) or (D) Code V Amount (D) Price | | | |
| Common Stock | | | | | 13,605 ⁽¹⁾ | D | |
| Common Stock | | | | | 11,450 ⁽²⁾ | I | By Wife |
| Common Stock | | | | | 1,062 | I | Co-Manager of Helmerich Grandchildren LLC |
| Common Stock | | | | | 7,865 | I | Trustee of Family Trust |

| | | | | | | | | | | |
|--------------|------------|--|---|---|------------------------------|---------|------|--|---|------------------------------------|
| Common Stock | | | | | | 36,430 | I | Co-Trustee of The Helmerich Trust (fka Co-Trustee of The Helmerich Foundation) | | |
| Common Stock | | | | | | 325,000 | I | Peggy Helmerich QTIP Trust (fka Trustee of Estate of W.H. Helmerich III) | | |
| Common Stock | 08/09/2018 | | G | V | <u>10,000</u> ⁽³⁾ | A | \$ 0 | 10,000 | I | Saddleridge, LLC |
| Common Stock | 08/09/2018 | | G | V | <u>10,000</u> ⁽⁴⁾ | D | \$ 0 | 43,903 | I | Trustee, 1993 Hans Helmerich Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

HELMERICH HANS
C/O CIMAREX ENERGY CO.
1700 LINCOLN STREET, SUITE 3700
DENVER, CO 80203

Signatures

Francis B. Barron, as
Attorney-in-Fact

08/09/2018

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 2,788 shares of restricted stock subject to service-based vesting.
- (2) Mr. Helmerich disclaims beneficial ownership of these shares.
- (3) Represents a gift for estate planning purposes. The Reporting Person owns 99% and his wife owns 1% of Saddleridge, LLC.
- (4) Represents a gift for estate planning purposes. The Reporting Person owns 99% and his wife owns 1% of Saddleridge, LLC.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.