HARMONIC INC Form 4/A May 20, 2008

# FORM 4

### OMB APPROVAL

burden hours per

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number: 3235-0287

if no longer subject to Section 16. Form 4 or

Check this box

wasnington, D.C. 20549

Expires: January 31, 2005 Estimated average

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

response... 0.5

1(b).

Common

Stock

05/16/2008

(Print or Type Responses)

1. Name and A DICKSON	Symbol		d Ticker or		···5	5. Relationship of Reporting Person(s) to Issuer			
<i>(</i> <b>7</b>		HARMONIC INC [HLIT]				(Check all applicable)			
(Last) 549 BALTI	, ,	,	Day/Year)	Transaction			Director _X_ Officer (give below) Chief F		Owner er (specify
SUNNYVA	Filed(Mo	Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secur	rities Acqui	ired, Disposed of	, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	omr Dispos (Instr. 3, 4	ed of	Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/16/2008	05/16/2008	M	24,000	A	\$ 7.8125	103,466	D	

24,000 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

05/16/2008

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Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

79,466 (1)

D

\$ 8.56

#### Edgar Filing: HARMONIC INC - Form 4/A

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and An Underlying Sec (Instr. 3 and 4)
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title 1
Right to buy	\$ 7.8125	05/16/2008	05/16/2008	M	(-)	24,000	06/08/1999	06/08/2008	Common Stock
Right to buy	\$ 8.17	05/15/2008	05/15/2008	A	100,000		04/01/2009(2)	05/15/2015	Common Stock

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
• 6	Director	10% Owner	Officer	Other			
DICKSON ROBIN N			Chief				
549 BALTIC WAY			Financial				
SUNNYVALE, CA 94089			Officer				
<b>0</b> 1							

### **Signatures**

/s/ Laura Donovan By Attorney-in-Fact: Laura
Donovan

05/20/2008

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This form includes 1,329 shares acquired through the company employee stock purchase plan (423 shares on 7/3/06, 422 on 1/3/07, 266 on 7/2/07 and 218 on 1/2/08)
- (2) Twenty-five percent of the Shares subject to the Option vest twelve months after the Vesting Commencement Date, and 1/48 of the Shares subject to the Option vest each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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