Edgar Filing: MORGAN CURTIS A - Form 5

Form 5 February 02, 2007								
FORM 5					OMB AP	PROVAL	_	
Check this box if no longer subject	······································					3235-0 January 2		
to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	5 obligations OWNERSHIP OF SECURITIES but res							
· · /	·	(a) of the l	Public Utility Holding Company Act of 194	f 1935 or Section				
1. Name and Address of Reporting Person <u>*</u> MORGAN CURTIS A			2. Issuer Name and Ticker or Trading Symbol NRG ENERGY, INC. [NRG]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
· · · · · ·	,	(Middle)	 Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2006 	Director		Owner		
719 GLENOVER DRIVE				/	mer Officer			
2)	Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joi (check	nt/Group Repo	rting		

ALPHRETTA, GAÂ 30004

MORGAN CURTIS A

X Form Filed by One Reporting Person ____ Form Filed by More than One Reporting Person

(City)	(State)	(Zip) Tak	ole I - Non-De	rivative Securities Acquired	l, Disposed of,	or Beneficially	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value .01 per share	11/15/2006	Â	S	1,500 D \$ (1) 54.5917	0 (2)	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. of D So B O E I S F I S (I
				(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships					
1		10% Owner	Officer	Other		
MORGAN CURTIS A 719 GLENOVER DRIVE ALPHRETTA, GA 30004	Â	Â	Â	Former Officer		
Signatures						
Curtis A. 01. Morgan	/19/2007					

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The reporting person's sale of 1,500 shares of common stock reported herein was matchable under Section 16(b) of the Securities Exchange Act of 1934, as amended, with the reporting person's purchase of 1,500 shares of common stock at a price of \$46.3073 per

- Exchange Act of 1994, as amended, with the reporting person's purchase of 1,500 shares of common stock at a piece of \$40.5075 per share on June 12, 2006. The reporting person has paid to NRG Energy, Inc. the full amount of the profit realized in connection with the short-swing transaction.
- (2) The reporting person's employment ended on October 21, 2006 and all unvested equity grants under the Company's Long-Term Incentive Plan were forfeited at that time.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.