Edgar Filing: NRG ENERGY, INC. - Form 4

NRG ENER Form 4 November 0												
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549											3235-0287	
Check th if no long											January 31,	
subject to	5 SIAIEN	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP									2005 average	
Section 1 Form 4 o		SECURITIES								burden hou response		
Form 5 obligatio may cont	Filed pur	Public U	ection 16(a) of the Securities Exchange Act of 1934, ublic Utility Holding Company Act of 1935 or Section							0.5		
<i>See</i> Instruction 30(h) of the Investment Company Act of 1940 1(b).												
(Print or Type I	Responses)											
Hill Thad Symbol				r Name and Ticker or Trading					5. Relationship of Reporting Person(s) to Issuer			
		e: 1 11 \	NRG ENERGY, INC. [NRG]						(Check all applicable)			
			3. Date of (Month/D			ansaction			Director 10% Owner			
NRG ENERGY, INC., 211 11/01/2				-					Officer (give title Other (specify below)			
CARNEGI	E CENTER								EVP, Bus. Dev. & Strategic Pln			
(Street) 4. If Ame				endment, Date Original					6. Individual or Joint/Group Filing(Check			
Filed(Mon				onth/Day/Year)					Applicable Line) _X_ Form filed by One Reporting Person			
PRINCETON, NJ 08540									Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tabl	le I - No	n-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transaction Date	2A. Deer	ned	3.		4. Securi	ties A	cquired	5. Amount of	6. Ownership		
Security (Instr. 3)	(Month/Day/Year) Exect any (Mon	any	ion Date, if /Day/Year)	Code (Instr. 3, 4 and 5)			Securities Beneficially Owned	Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership			
````									Following (Instr. 4) (Instr. 4) Reported			
							(A) or		Transaction(s)			
				Code	V	Amount		Price	(Instr. 3 and 4)			
Common								¢				
Stock, par value .01	11/01/2006(1)			S		1,600	D	৯ 47 97	21,476	D		
per share												
Common												
Stock, par	11/01/2006(1)			S		400	D	\$ 47.98	21,076	D		
value .01								47.98	,			
per share												
Common Stock, par								\$				
value .01	11/01/2006(1)			S		1,500	D	ф 47.99	19,576	D		
per share												

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Common Stock, par value .01 per share	11/01/2006(1)	S	3,900	D	\$ 48	15,676	D
Common Stock, par value .01 per share	11/01/2006 <u>(1)</u>	S	400	D	\$ 48.01	15,276	D
Common Stock, par value .01 per share	11/01/2006 <u>(1)</u>	S	200	D	\$ 48.04	15,076	D
Common Stock, par value .01 per share	11/01/2006 <u>(1)</u>	S	2,000	D	\$ 48.1	13,076	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

### **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Hill Thad			EVP, Bus. Dev. & Strategic Pln					
NRG ENERGY, INC.								

**Reporting Owners** 

#### 211 CARNEGIE CENTER PRINCETON, NJ 08540

# Signatures

/s/ Tanuja M. Dehne, under Power of Attorney

11/02/2006

**Signature of Reporting Person

Date

# **Explanation of Responses:**

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 3,
   2006. Mr. Hill's 10b5-1 trading plan is limited to the total sale of NRG Energy, Inc. Common Stock he received in connection with the acquisition of Texas Genco LLC by NRG Energy, Inc. on February 2, 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.