BAMBACH RICHARD JR
Form 5
February 14, 2003

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## UNITED STATES
## SECURITIES AND EXCHANGE COMMISSION
### Washington, DC 20549

# FORM 5

## ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(h) of the Investment Company Act of 1940**

o Check box if no longer
subject to Section 16.
Form 4 or Form 5
obligations may continue.
*See* Instruction 1(b). o Form 3 Holdings Reported x Form 4 Transactions Reported

**1. Name and Address of Reporting
Person\* 2. Issuer Name and Ticker or Trading
Symbol 3. I.R.S. Identification Number of Reporting
Person, if an entity** *(Voluntary)*   Bambach, Jr., Richard

*(Last) (First) (Middle)*   Bowne & Co., Inc. (BNE)

Bowne & Co., Inc., 345 Hudson Street

**4. Statement for Month/Year 5. If Amendment, Date of Original** *(Month/Year)*   12/31/2002

*(Street)* **6. Relationship of Reporting Person(s)
to Issuer** *(Check All Applicable)* **7. Individual or Joint/Group Reporting**
*(Check Applicable Line)*   New York, NY 10014

*(City)   (State)   (Zip)* o Director o 10% Owner  x Form filed by One Reporting Person   x Officer *(give title below)* o Form filed by More than One Reporting Person   o Other *(specify below)*   VP, Corp. Control.

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\* If the form is filed by more than one reporting person, see instruction 4(b)(v).

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

**1. Title of
Security**
*(Instr. 3)* **2. Transaction
Date**
*(Month/Day/Year)* **2A. Deemed Execution
Date, if any**
*(Month/Day/Year)* **3. Transaction
Code**
*(Instr. 8)* **4. Securities Acquired (A)
or Disposed of (D)**
*(Instr. 3, 4 and 5)* **5. Amount of Securities
Beneficially Owned
at the End of Issuer's
Fiscal Year**
*(Instr. 3 and 4)* **6. Ownership
Form:
Direct (D) or
Indirect (I)**
*(Instr. 4)* **7. Nature of
Indirect
Beneficial
Ownership**
*(Instr. 4)*

**Amount (A)
or
(D) Price**

| Common Stock, Par Value $.01 per Share | V | 269 (1) | D |
|---|---|---|---|

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**
 (*e.g.*, puts, calls, warrants, options, convertible securities)

**1. Title of Derivative Security**
*(Instr. 3)* **2. Conversion or Exercise Price of Derivative Security** **3. Transaction Date**
*(Month/Day/Year)* **3A. Deemed Execution Date, if any**
*(Month/Day/Year)* **4. Transaction Code**
*(Instr. 8)* **5. Number of Derivative Securities Acquired (A) or Disposed of (D)**
*(Instr. 3, 4 and 5)*

| | | | | | **(A)** | **(D)** |
|---|---|---|---|---|---|---|
| Options to Purchase Common Stock | $10.580000 | 12/19/2002 | | A | 10,000 | |

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned - Continued**
(*e.g.*, **puts, calls, warrants, options, convertible securities**)

**6. Date Exercisable and
Expiration Date**
*(Month/Day/Year)* **7. Title and Amount of
Underlying Securities**
*(Instr. 3 and 4)* **8. Price of Derivative
Security**
*(Instr. 5)* **9. Number of Derivative
Securities Beneficially
Owned at End of
Year**
*(Instr. 4)* **10. Ownership of
Derivative Security:
Direct (D)
or Indirect (I)**
*(Instr. 4)* **11. Nature of
Indirect
Beneficial
Ownership**
*(Instr. 4)*

| Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | | |
|---|---|---|---|---|---|---|---|
| (2) | 12/19/2012 | Common Stock | 10,000 | (2) | 10,000 | D | |

**Explanation of Responses:**

(1) The number of shares of Common Stock beneficially owned as of the date reported including the number of deferred stock units credited to the Reporting Person under Company plans, as permitted under applicable SEC rules, and shares held in the Company's Employee Stock Purchase Plan. (2) Non-Qualified stock options, granted under the Company's 1999 Incentive Compensation Plan, becoming exercisable in 50% increments on the two subsequent anniversaries of the grant.

Richard Bambach, Jr.   2/14/2003

**Signature of Reporting Person

Date

**   Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).   Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure.