

MCDONALD R BRUCE  
Form 4  
July 24, 2012

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
MCDONALD R BRUCE

(Last) (First) (Middle)

5757 N. GREEN BAY AVENUE, P.O. BOX 591

(Street)

MILWAUKEE, WI 53201-0591

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

JOHNSON CONTROLS INC [JCI]

3. Date of Earliest Transaction (Month/Day/Year)

07/24/2012

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)

Exec Vice President & CFO

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (D)  | Price   |
| Common Stock                    | 07/24/2012                           |  | M                              |   | 60,000  | A  | \$ 13.4325  |
| Common Stock                    | 07/24/2012                           |  | F                              |   | 43,155  | D  | \$ 23.6728  |
| Common Stock                    |                                      |  |                                |   |   |  | 16,690.252  |
|                                 |                                      |  |                                |   |   | I  | (3)   |
|                                 |                                      |  |                                |   |   |  | By 401(k) Plan  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

displays a currently valid OMB control number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security<br>(Instr. 3)   | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed Execution Date, if any<br>(Month/Day/Year) | 4. Transaction Code<br>(Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D)<br>(Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 7. Title and Underlying Security<br>(Instr. 3 and 4) |              |
|---|--|---|---|-----------------------------------|--|---|--|--------------|
|   |  |   |   | Code                              | V (A) (D)  | Date Exercisable  | Expiration Date                                      | Title        |
| Employee Stock Option (Right to Buy)            | \$ 13.4325   | 07/24/2012                              |   | M                                 | 60,000   | 11/20/2004  | 11/20/2012   | Common Stock |
| Phantom Stock Units/Long-Term Incentive Plan    | (4)  |   |   |                                   |  | (5)   | (5)  | Common Stock |
| Phantom Stock Units/Retirement Restoration Plan | (4)  |   |   |                                   |  | (7)   | (7)  | Common Stock |
| Phantom Stock Units/Annual Incentive Plan       | (4)  |   |   |                                   |  | (9)   | (9)  | Common Stock |
| Phantom Stock Units/Restricted Stock            | (4)  |   |   |                                   |  | (11)  | (11)   | Common Stock |
| Employee Stock Option (Right to Buy)            | \$ 17.5167   |   |   |                                   |  | 11/19/2005  | 11/19/2013   | Common Stock |
| Employee Stock Option (Right to Buy)            | \$ 20.5633   |   |   |                                   |  | 11/17/2006  | 11/17/2014   | Common Stock |
| Employee Stock Option (Right to Buy)            | \$ 22.5617   |   |   |                                   |  | 11/16/2007  | 11/16/2015   | Common Stock |
| Employee Stock Option (Right to Buy)            | \$ 23.965  |   |   |                                   |  | 10/02/2008  | 10/02/2016   | Common Stock |
| Employee Stock Option (Right to Buy)            | \$ 40.21   |   |   |                                   |  | 10/01/2009  | 10/01/2017   | Common Stock |

|                                      |          |                            |            |              |
|--------------------------------------|----------|----------------------------|------------|--------------|
| Employee Stock Option (Right to Buy) | \$ 28.79 | 10/01/2010 <sup>(13)</sup> | 10/01/2018 | Common Stock |
| Employee Stock Option (Right to Buy) | \$ 24.87 | 10/01/2011 <sup>(13)</sup> | 10/01/2019 | Common Stock |
| Employee Stock Option (Right to Buy) | \$ 30.54 | 10/01/2012 <sup>(13)</sup> | 10/01/2020 | Common Stock |
| Employee Stock Option (Right to Buy) | \$ 28.54 | 10/07/2013 <sup>(13)</sup> | 10/07/2021 | Common Stock |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                                 |       |
|--|---------------|-----------|---------------------------------|-------|
|  | Director      | 10% Owner | Officer                         | Other |
| MCDONALD R BRUCE<br>5757 N. GREEN BAY AVENUE<br>P.O. BOX 591<br>MILWAUKEE, WI 53201-0591 |               |           | Exec Vice<br>President &<br>CFO |       |

## Signatures

Angela M. Blair, Attorney-In-Fact for R. Bruce McDonald  
07/24/2012

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes the following previously granted shares of restricted stock: 12,000 which vest on November 1, 2012; 13,500 which vest on November 4, 2013; and 12,000 which vest on November 3, 2014. All vesting is subject to continuous employment with the issuer.
- (2) The price reported in column 4 is a weighted average price. The shares were sold in multiple transactions in prices ranging from \$23.63 to \$23.72, inclusive. The reporting person undertakes to provide to Johnson Controls, any security holder of Johnson Controls, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (2) of this Form 4.
- (3) The number of underlying securities is based on the stock fund balance on July 5, 2012. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a July 5, 2012, stock fund price of \$27.74 per share.
- (4) Each unit of phantom stock is the economic equivalent of one share of Johnson Controls common stock.
- (5) The phantom stock units were accrued under the Johnson Controls Long-Term Incentive Plan and are to be settled 100% in cash upon the reporting person's retirement.
- (6) Includes 1,637,051 phantom stock units acquired through the reinvestment of dividends on January 3, April 3 and July 3, 2012 at prices ranging from \$27.81 to \$32.60 per phantom stock unit.
- (7) The phantom stock units were accrued under the Johnson Controls Retirement Restoration Plan and are to be settled 100% in cash upon the reporting person's termination of employment with the company.

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(8) Includes 713.004 phantom stock units acquired through the reinvestment of dividends on January 3, April 3 and July 3, 2012 at prices ranging from \$27.81 to \$32.60 per phantom stock unit. Also includes a company match of 3,823.171 phantom stock units on February 16, 2012 at a price of \$34.44 per phantom stock unit.

(9) The phantom stock units were accrued under the Johnson Controls Annual Incentive Plan and are to be settled 100% in cash upon the reporting person's retirement.

(10) Includes 52.76 phantom stock units acquired through the reinvestment of dividends on January 3, April 3 and July 3, 2012 at prices ranging from \$27.81 to \$32.60 per phantom stock unit.

(11) The restricted stock units accrue under the Johnson Controls Restricted Stock Plan. The balance includes phantom stock units representing (a) vested, deferred restricted stock awards and dividends which relate to the awards, both of which will be settled 100% in cash upon retirement and may be transferred into an alternative investment account, (b) unvested, deferred restricted stock awards and dividends which relate to the awards, both of which will be settled 100% in cash upon retirement, (c) dividends which relate to unvested, non-deferred restricted stock awards, which will be settled 100% in cash upon vesting, and (d) unvested, non-deferred restricted stock awards and dividends which relate to the awards, both of which will be settled 100% in cash upon vesting of the award.

(12) Includes 3,522.75 phantom stock units acquired through the reinvestment of dividends on January 3, April 3 and July 3, 2012 at prices ranging from \$27.81 to \$32.60 per phantom stock unit.

(13) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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