Edgar Filing: VALANJU SUBHASH S - Form 4

VALANJU	SUBHASH S										
Form 4											
January 06,											
FORM			CECU	DITIES		TT A 1		OMNECTON		PROVAL	
	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						OMMINISSION	OMB Number:	3235-0287		
if no lo subject Section Form 4	nger to STATEN 16.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Expires: January 31, 2005 Estimated average burden hours per response 0.5	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type	e Responses)										
1. Name and Address of Reporting Person <u>*</u> VALANJU SUBHASH S			2. Issuer Name and Ticker or Trading Symbol JOHNSON CONTROLS INC [JCI]				-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (Middle)	3. Date of Earliest Transaction			(Check)			
5757 N. GREEN BAY AVENUE, P.O. BOX 591							below)	_X Officer (give title Other (specify			
			Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person			
MILWAU	KEE, WI 53201-0	591						Form filed by Mo Person	ore than One Rej	porting	
(City)	(State)	(Zip)	Tal	ble I - Non-	Derivative S	Securi	ities Acq	uired, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deeme Execution any (Month/Da	Date, if	3. Transactio Code (Instr. 8)	4. Securitie onDisposed o (Instr. 3, 4 a)	f (D)		or 5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
G				Code V	Amount	(D)	Price				
Common Stock	01/05/2009			А	105.142	А	\$ 19.021	$18,735.778$ $(9 \underline{(1)})$	D		
Common Stock								20,762.153 (2)	Ι	By 401(k) Plan Trust	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. of Number of Derivat Securit Acquir (A) or Dispose of (D) (Instr. 2 4, and 2	r Expiration Da (Month/Day/Y ive es ed ed			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (I	Date Exercisable	Expiration Date	Title	Amount Number Shares	
Phantom Stock Units - Annual Incentive Plan	<u>(3)</u>					(4)	(4)	Common Stock	83,485	
Phantom Stock Units - Long-Term Incentive Plan	<u>(3)</u>					<u>(6)</u>	<u>(6)</u>	Common Stock	8,065	
Phantom Stock Units/Excess Benefit Plan-Common	(3)					(8)	(8)	Common Stock	5,978.	
Stock Option	\$ 9.7344					11/17/2001	11/17/2009	Common Stock	42,00	
Stock Option	\$ 9.474					11/15/2002	11/15/2010	Common Stock	90,00	
Stock Option	\$ 13.3717					11/14/2003	11/14/2011	Common Stock	66,00	
Stock Option	\$ 13.4325					11/20/2004	11/20/2012	Common Stock	72,00	
Stock Option	\$ 17.5167					11/19/2005	11/19/2013	Common Stock	72,00	
Stock Option	\$ 20.5633					11/17/2006	11/17/2014	Common Stock	63,00	
Stock Option	\$ 22.5617					11/16/2007	11/16/2015	Common Stock	60,00	
Stock Option	\$ 23.965					10/02/2008	10/02/2016	Common Stock	48,00	
Stock Option	\$ 40.21					10/01/2009	10/01/2017	Common Stock	30,00	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
VALANJU SUBHASH S 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201-0591			Vice President and CIO				
Signatures							
Arlene D. Gumm Attorney-In-Fact for Valanju	01/06/2009						
**Signature of Reporting Person	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 117.731 shares acquired through the reinvestment of dividends on January 5, 2009, at a price of \$19.0219 per share.

The number of underlying securities is based on the stock fund balance on January 5, 2009. The actual number of shares issuable upon the
 (2) distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on an January 5, 2009, stock fund price of \$19.04 per share.

Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. Shares of phantom stock are(3) payable in cash following the reporting person's termination of employment with the company and may be transferred by the reporting person into an alternative investment account at any time.

- (4) The phantom stock units were accrued under the Johnson Controls Annual Incentive Compensation Plan and are to be settled 100% in cash upon the reporting person's termination.
- (5) Includes 507.374 phantom stock units acquired through reinvestment of dividends on January 5, 2009, at a price of of \$19.04 per share.
- (6) The phantom stock units were accrued under the Johnson Controls Long-Term Incentive Plan and are to be settled 100% in cash upon the reporting person's termination.
- (7) Includes 54.696 phantom stock units acquired through reinvestment of dividends on January 5, 2009, at a price of \$19.04 per share.
- (8) The phantom stock units were accrued under the Johnson Controls Equalization 401(k) Benefit Plan and are to be settled 100% in cash upon the reporting person's termination of employment with the company.
- (9) Includes 50.65 phantom stock units acquired through reinvestment of dividends on January 5, 2009, at a price of 19.04 per share and from ongoing monthly purchases through payroll deductions in the plan which mirrors the 401(k) plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.