# Edgar Filing: JOHNSON CONTROLS INC - Form 4

JOHNSON CONTROLS INC Form 4 January 25, 2008 FORM 4 January 25, 2008 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).									
(Print or Type Responses)									
1. Name and Address of Reporting Person *       2. Issuer Name         CLARIOND EUGENIO       Symbol         JOHNSON C					I	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)(First)(Middle)3. Date of Earliest Transaction (Month/Day/Year)5757 N. GREEN BAY01/23/2008AVENUE, P.O. BOX 59101/23/2008				-	Officer (give title Other (specify below) below)				
(Street) 4. If Amendment, Date Original Filed(Month/Day/Year) MILWAUKEE, WI 53201					<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> <li>Person</li> </ul>				
(City) (State) (2	Zip) Tal	ble I - Non-	Derivative	Secu			or Beneficial	v Owned	
(Instr. 3)		Code (Instr. 3, 4 and 5)			equired (A) (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common 10/20/2007		Code V	Amount	(D)	Price	(Instr. 3 and 4)	D		
Stock 10/30/2007		Z	20,000	D	\$0	310,743 <u>(1)</u>	D		
Common 10/30/2007 Stock		Z	20,000	А	\$0	310,743	Ι	By Trust	
Common 01/24/2008 Stock		Р	60,000	А	\$ 32.8853	370,743	Ι	By Trust	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number prof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Phantom Stock Units/Directors' Deferred Compensation Plan	(2)	01/23/2008		Α	3,076	(3)	(3)	Common Stock	3,07
Phantom Stock Units/Directors' Retirement Stock Plan	(2)					(6)	<u>(6)</u>	Common Stock	2,177.4

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships						
	Director	10% Owner	Officer	Other			
CLARIOND EUGENIO 5757 N. GREEN BAY AVENUE P.O. BOX 591 MILWAUKEE, WI 53201	Х						
Signatures							

Arlene D. Gumm Attorney-In-Fact for Eugenio Clariond Reyes-Retana

\*\*Signature of Reporting Person

01/25/2008 Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On October 2, 2007, the common stock of Johnson Controls Inc., split 3-for-1, resulting in the reporting person's ownership of 207,162 (1)additional shares of common stock.
- (2) The phantom stock units convert to the common stock's cash value on a one-for-one basis.
- (3) The Phantom stock units were accrued under the Johnson Controls Directors' Deferred Compensation Plan and are to be settled 100% in cash upon the reporting person's retirement. The reporting person has the right to transfer the value of the phantom stock account into an

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alternative investment account within the plan.

- (4) On October 2, 2007, the common stock of Johnson Controls Inc., split 3-for-1, resulting in the reporting person's ownership of 4,398.46 of additional phantom stock units.
- (5) Includes 41.911 phantom stock units acquired through the reinvestment of dividends on October 2, 2007 and January 3, 2008, at prices of \$39.8667 and \$35.02 per phantom stock unit.

The Phantom stock units were accrued under the Johnson Controls Directors' Retirement Plan and are to be settled 100% in cash upon the (6) reporting person's retirement. The reporting person has the right to transfer the value of the phantom stock account into an alternative investment account within the plan.

- On October 2, 2007, the common stock of Johnson Controls Inc., split 3-for-1, resulting in the reporting person's ownership of 1,442.314 of additional phantom stock units.
- (8) Includes 14.023 phantom stock units acquired through the reinvestment of dividends on October 2, 2007 and January 3, 2008, at prices of \$39.8667 and \$35.02 per phantom stock unit.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.