DAVIS SUSAN F Form 4 September 13, 2005

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287

Check this box if no longer subject to

Number: January 31, Expires: 2005

**OMB APPROVAL** 

Section 16. Form 4 or Form 5 obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

0.5 response...

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * DAVIS SUSAN F			2. Issuer Name <b>and</b> Ticker or Trading Symbol JOHNSON CONTROLS INC [JCI]	5. Relationship of Reporting Person(s) to Issuer		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check all applicable)		
			(Month/Day/Year)	Director 10% Owner		
5757 N. GREEN BAY			09/09/2005	_X_ Officer (give title Other (specify		
AVENUE, P.O. BOX 591				below) below) Vice President, Human Resource		
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person		
MILWAUKEE, WI 53201-0591				Form filed by More than One Reportin Person		

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit DID Dispos (Instr. 3, 4	ed of (	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	09/09/2005		M	40,000	A		98,193.065	D	
Common Stock	09/09/2005		S	40,000	D	\$ 61.7711	58,193.065	D	
Common Stock	09/12/2005		M	34,000	A	\$ 29.2032	92,193.065 (1)	D	
Common Stock	09/12/2005		S	34,000	D	\$ 61.5413	58,193.065	D	
Common Stock							6,650.3 (2)	I	By 401(k) Plan

### Edgar Filing: DAVIS SUSAN F - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year) A)		7. Title and A Underlying S (Instr. 3 and 4
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Phantom Stock Units/Excess Benefit Plan-Common	(3)					<u>(4)</u>	<u>(4)</u>	Common Stock
Phantom Stock Units/EICP	(3)					<u>(7)</u>	<u>(7)</u>	Common Stock
Phantom Stock Units/LTPP	(3)					(8)	(8)	Common Stock
Phanton Stock Units/ Restricted Stock Grant	(3)					(10)	(10)	Common Stock
Stock Option	\$ 40.115	09/09/2005		M	40,000	11/14/2003	11/14/2011	Common Stock
Stock Option	\$ 29.2032	09/12/2005		M	34,000	11/17/2001	11/17/2009	Common Stock
Stock Option	\$ 40.2975					11/20/2004(12)	11/20/2012	Common Stock
Stock Option	\$ 52.55					11/19/2005(12)	11/19/2013	Common Stock
Stock Option	\$ 61.69					11/17/2006(12)	11/17/2014	Common Stock

# **Reporting Owners**

Reporting Owner Name / Address

Relationships

Reporting Owners 2

#### Edgar Filing: DAVIS SUSAN F - Form 4

Director 10% Owner Officer Other

Date

DAVIS SUSAN F Vice
5757 N. GREEN BAY AVENUE President,
P.O. BOX 591 Human
MILWAUKEE, WI 53201-0591 Resource

### **Signatures**

Arlene D. GummAttorney-in-Fact for Susan F.

Davis

09/13/2005

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 117.575 shares acquired through the reinvestment of dividends on March 31 and June 30, 2005, at prices of \$55.9791 and \$56.5178 per share.
- The number of underlying securities is based on the stock fund balance on September 12, 2005. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a September 12, 2005, stock fund price of \$62.21 per share.
- (3) The phantom stock units convert to the common stock's cash value on a one-for-one basis.
- (4) The phantom stock units were accrued under the Johnson Controls Equalization 401(k) Benefit Plan and are to be settled 100% in cash upon the reporting person's retirement.
- (5) Includes 51.87 phantom stock units acquired through the reinvestment of dividends on March 31 and June 30, 2005 at prices of \$55.76 and \$56.33 per phantom stock unit.
- (6) Incudes 44.228 phantom stock units acquired through reinvestment of dividends on January 3, 2005, at a price of \$62.79 per phantom unit
- (7) The phantom stock units were accrued under the Johnson Controls Executive Incentive Compensation Plan and are to be settled 100% in cash upon retirement.
- (8) The phantom stock units were accrued under the Johnson Controls Long-Term Performance Plan and are to be settled 100% in cash upon retirement.
- (9) Includes 53.964 phantom stock units acquired through the reinvestment of dividends on March 31 and June 30, 2005 at prices of \$55.76 and \$56.33 per phantom stock unit.
- (10) The phantom stock units were accrued under the Johnson Controls Deferred Restricted Stock Plan and are to be settled 100% in cash upon the reporting person's retirement.
- (11) Includes 142.841 phantom stock units acquired through the reinvestment of dividends on March 31 and June 30, 2005 at prices of \$55.76 and \$56.33 per phantom stock unit.
- (12) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3