Biotest AG Form 4 May 16, 2018

## FORM 4

#### **OMB APPROVAL**

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

Washington, D.C. 20549

January 31, Expires: 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person ** Biotest AG				Symbol	2. Issuer Name <b>and</b> Ticker or Trading Symbol ADMA BIOLOGICS, INC. [ADMA]				5. Relationship of Reporting Person(s) to Issuer				
	(Last)	(First)	(Middle)	3. Date of 1		_		-,	(Check	all applicable	2)		
	LANDSTEIN	JERSTR. 5		(Month/Da 05/14/20	y/Year)			bel	Director Officer (give ti ow)	tle Other below)	Owner er (specify		
		(Street)		4. If Amen Filed(Month		e Original		Ap	Individual or Join plicable Line) Form filed by On	e Reporting Per	rson		
	DREIEICH, 2	2M 63303						_	_ Form filed by Morson	ore than One Re	eporting		
	(City)	(State)	(Zip)	Table	I - Non-De	erivative Secui	rities A	cquire	ed, Disposed of,	or Beneficial	ly Owned		
	1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Yo	ear) Exect	Deemed atton Date, if th/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Approx Disposed of (Instr. 3, 4 and Amount	of (D)	ed (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
	Non-Voting Common Stock	05/14/2018			S	8,591,160 (1)	D	\$0	0	I	By Biotest Pharmaceuticals Corporation (2)		
	Common Stock								10,109,534	I	By Biotest Pharmaceuticals Corporation (3)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Corporation (3)

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration	m: .1	or		
						Exercisable Date	Title	Title Number			
				~					of		
				Code V	(A) (D)				Shares		

### **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Biotest AG LANDSTEINERSTR. 5 DREIEICH, 2M 63303		X					
Biotest Pharmaceuticals Corp 901 YAMATO ROAD, SUITE 101 BOCA RATON, FL 33431		X					

### **Signatures**

/s/ Ulrike Burkhard, General Counsel	05/16/2018
**Signature of Reporting Person	Date
/s/ Donna Quinn, Vice President and General Counsel	05/16/2018
**Signature of Reporting Person	Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On May 14, 2018, pursuant to a Share Transfer, Amendment and Release Agreement, by and among, among other parties, the issuer, Biotest Pharmaceuticals Corporation ("BPC") and Biotest AG, BPC transferred to the issuer, for no cash consideration, 8,591,160 shares of the issuer's non-voting common stock previously issued to BPC in June 2017 and representing 100% of the issuer's then-issued and outstanding non-voting common stock.
- The shares were owned directly by BPC, a ten percent owner of the issuer and a wholly owned subsidiary of Biotest AG, and indirectly **(2)** by Biotest AG.
- The shares are owned directly by BPC, a ten percent owner of the issuer and a wholly owned subsidiary of Biotest AG, and indirectly by

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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