

Edgar Filing: ROYCE FOCUS TRUST INC - Form NSAR-B

ROYCE FOCUS TRUST INC

Form NSAR-B

February 28, 2014

PAGE 1
000 B000000 12/31/2013
000 C000000 0000825202
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 ROYCE FOCUS TRUST, INC.
001 B000000 811-05379
001 C000000 2125084500
002 A000000 745 FIFTH AVENUE
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10151
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 ROYCE & ASSOCIATES, LLC
008 B000001 A
008 C000001 801-8268
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10019
010 A000001 ROYCE & ASSOCIATES, LLC
010 C010001 NEW YORK
010 C020001 NY
010 C030001 10019
012 A000001 COMPUTERSHARE TRUST COMPANY
012 B000001 84-05925
012 C010001 CANTON
012 C020001 MA
012 C030001 02021
013 A000001 TAIT, WELLER & BAKER
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
PAGE 2
013 B040001 2108
014 A000001 CARNES CAPITAL CORPORATION
014 B000001 8-33443
014 A000002 LEGG MASON INVESTOR SERVICES, LLC
014 B000002 8-53089
014 A000003 ROYCE FUND SERVICES, INC.

Edgar Filing: ROYCE FOCUS TRUST INC - Form NSAR-B

014 B000003 8-28663
015 A000001 STATE STREET BANK AND TRUST COMPANY
015 B000001 C
015 C010001 N. QUINCY
015 C020001 MA
015 C030001 01270
015 E010001 X
015 A000002 STATE STREET TRUST COMPANY CANADA
015 B000002 S
015 C010002 TORONTO
015 D010002 CANADA
015 D020002 M5C 3G6
015 E040002 X
015 A000003 CITIBANK N.A.
015 B000003 S
015 C010003 BUENOS AIRES
015 D010003 ARGENTINA
015 E040003 X
015 A000004 STATE STREET BANK AND TRUST COMPANY
015 B000004 S
015 C010004 EDINBURGH
015 D010004 SCOTLAND
015 D020004 EH5 2AW
015 E040004 X
015 A000005 DEUSCHE BANK AG, NETHERLANDS
015 B000005 S
015 C010005 AMSTERDAM
015 D010005 NETHERLANDS
015 E040005 X
015 A000006 BANCO ITAU CHILE
015 B000006 S
015 C010006 SANTIAGO
015 D010006 CHILE
015 E040006 X
015 A000007 CITITRUST, S.A.
015 B000007 S
015 C010007 BOGOTA DC
015 D010007 COLUMBIA
015 E040007 X
015 A000008 DEUTSCHE BANK AG
015 B000008 S
015 C010008 ESCHBORN
015 D010008 GERMANY
015 E040008 X
015 A000009 SKANDINAVISKA ENSKILDA BANKEN AB
PAGE 3
015 B000009 S
015 C010009 COPENHAGEN
015 D010009 DENMARK
015 E040009 X
015 A000010 DEUTSCHE BANK S.A.E.
015 B000010 S
015 C010010 MADRID
015 D010010 SPAIN
015 E040010 X
015 A000011 SEB MERCHANT BANKING
015 B000011 S
015 C010011 HELSINKI
015 D010011 FINLAND
015 E040011 X
015 A000012 SKANDINAVISKA ENSKILDA
015 B000012 S

Edgar Filing: ROYCE FOCUS TRUST INC - Form NSAR-B

015 C010012 COPENHAGEN
015 D010012 DENMARK
015 E040012 X
015 A000013 DEUTSCHE BANK S.P.A.
015 B000013 S
015 C010013 MILAN
015 D010013 ITALY
015 E040013 X
015 A000014 HONG KONG & SHANGHAI BANKING CORP.
015 B000014 S
015 C010014 TOKYO
015 D010014 JAPAN
015 E040014 X
015 A000015 SKANDINAVISAK ENSKILDA
015 B000015 S
015 C010015 OSLO
015 D010015 NORWAY
015 E040015 X
015 A000016 HONG KONG AND SHANGHAI BANKING CORP LTD
015 B000016 S
015 C010016 AUCKLAND
015 D010016 NEW ZEALAND
015 E040016 X
015 A000017 CITIBANK N.A.
015 B000017 S
015 C010017 SINGAPORE
015 D010017 SINGAPORE
015 E040017 X
015 A000018 SKANDINAVISKA ENSKILDA BANKEN
015 B000018 S
015 C010018 STOCKHOLM
015 D010018 SWEDEN
015 E040018 X
015 A000019 STANDARD CORPORATE AND MERCHANT BANK
015 B000019 S
PAGE 4
015 C010019 JOANNESBURG
015 D010019 SOUTH AFRICA
015 E040019 X
015 A000020 BANK AUSTRIA CREDITANSTALT AG
015 B000020 S
015 C010020 DUBAI
015 D010020 UNITED ARAB EMIRATES
015 E040020 X
015 A000021 ERSTE BANK
015 B000021 S
015 C010021 VIENNA
015 D010021 AUSTRIA
015 E040021 X
015 A000022 UBS AG
015 B000022 S
015 C010022 ZURICH
015 D010022 SWITZERLAND
015 E040022 X
015 A000023 BNP PARIBAS SECURITIES SERVICES, S.A.
015 B000023 S
015 C010023 ATHENS
015 D010023 GREECE
015 E040023 X
015 A000024 STANDARD CHARTERED BANK LTD
015 B000024 S
015 C010024 KWUN TONG

Edgar Filing: ROYCE FOCUS TRUST INC - Form NSAR-B

015 D010024 HONG KONG
015 E040024 X
015 A000025 UNITCREDIT BANK HUNGARY ZRT
015 B000025 S
015 C010025 BUDAPEST
015 D010025 HUNGARY
015 E040025 X
015 A000026 BANCO NACIONAL DE MEXICO S.A.
015 B000026 S
015 C010026 SANTA FE
015 D010026 MEXICO
015 E040026 X
015 A000027 CITIBANK N.A. SINGAPORE
015 B000027 S
015 C010027 SINGAPORE
015 D010027 SINGAPORE
015 E040027 X
015 A000028 CITIBANK, A.S.
015 B000028 S
015 C010028 INSTANBUL
015 D010028 TURKEY
015 E040028 X
015 A000029 HONGKONG AND SHANGHAI BANKING CORP LTD
015 B000029 S
015 C010029 SYDNEY
PAGE 5
015 D010029 AUSTRALIA
015 E040029 X
018 000000 Y
019 A000000 Y
019 B000000 35
019 C000000 ROYCEFUNDS
020 A000001 ENSKILDA
020 B000001 13-3497509
020 C000001 7
020 A000002 WALL STREET ACCESS
020 B000002 13-3057481
020 C000002 7
020 A000003 TORONTO DOMINION
020 B000003 20-1177269
020 C000003 5
020 A000004 CIBC CANADA
020 B000004 13-2798343
020 C000004 5
020 A000005 MORGAN STANLEY
020 B000005 13-2655998
020 C000005 5
020 A000006 WEEDEN & CO.
020 B000006 13-3364318
020 C000006 5
020 A000007 J.P. MORGAN INC. EUROPE
020 B000007 13-3299429
020 C000007 5
020 A000008 GMP SECURITIES LTD
020 B000008 94-0431148
020 C000008 5
020 A000009 CANACCORD GENUITY, INC.
020 B000009 20-0779566
020 C000009 5
020 A000010 CANACCORD GENUITY
020 B000010 20-0779566
020 C000010 4

Edgar Filing: ROYCE FOCUS TRUST INC - Form NSAR-B

021 000000 120
 022 A000001 STATE STREET BANK AND TRUST COMPANY
 022 B000001 04-1867445
 022 C000001 776832
 022 D000001 0
 022 A000002 J.P. MORGAN INC.
 022 B000002 13-3224016
 022 C000002 1815
 022 D000002 0
 022 A000003 GOLDMAN SACHS & CO.
 022 B000003 13-5108980
 022 C000003 1470
 022 D000003 0
 022 A000004 SANDLER, O'NEIL
 022 B000004 13-3481277

PAGE 6

022 C000004 30
 022 D000004 0
 022 C000005 0
 022 D000005 0
 022 C000006 0
 022 D000006 0
 022 C000007 0
 022 D000007 0
 022 C000008 0
 022 D000008 0
 022 C000009 0
 022 D000009 0
 022 C000010 0
 022 D000010 0
 023 C000000 780147
 023 D000000 0
 024 000000 N
 025 A000001 DELETE
 025 D000001 0
 025 A000002 DELETE
 025 D000002 0
 025 A000003 DELETE
 025 D000003 0
 025 D000004 0
 025 D000005 0
 025 D000006 0
 025 D000007 0
 025 D000008 0
 026 A000000 N
 026 B000000 Y
 026 C000000 N
 026 D000000 Y
 026 E000000 N
 026 F000000 N
 026 G010000 N
 026 G020000 N
 027 000000 N
 028 A010000 0
 028 A020000 0
 028 A030000 0
 028 A040000 0
 028 B010000 0
 028 B020000 0
 028 B030000 0
 028 B040000 0
 028 C010000 0

Edgar Filing: ROYCE FOCUS TRUST INC - Form NSAR-B

028 C020000 0
 028 C030000 0
 028 C040000 0
 028 D010000 0
 028 D020000 0

PAGE 7

028 D030000 0
 028 D040000 0
 028 E010000 0
 028 E020000 0
 028 E030000 0
 028 E040000 0
 028 F010000 0
 028 F020000 0
 028 F030000 0
 028 F040000 0
 028 G010000 0
 028 G020000 0
 028 G030000 0
 028 G040000 0
 028 H000000 0

030 A000000 0
 030 B000000 0.00
 030 C000000 0.00
 031 A000000 0
 031 B000000 0
 032 000000 0
 033 000000 0
 035 000000 0
 036 B000000 0
 038 000000 0

042 A000000 0
 042 B000000 0
 042 C000000 0
 042 D000000 0
 042 E000000 0
 042 F000000 0
 042 G000000 0
 042 H000000 0

043 000000 0
 044 000000 0

045 000000 Y
 046 000000 N
 047 000000 Y

048 000000 1.000
 048 A010000 0
 048 A020000 0.000
 048 B010000 0
 048 B020000 0.000
 048 C010000 0
 048 C020000 0.000
 048 D010000 0
 048 D020000 0.000
 048 E010000 0
 048 E020000 0.000
 048 F010000 0
 048 F020000 0.000

PAGE 8

048 G010000 0
 048 G020000 0.000
 048 H010000 0
 048 H020000 0.000

Edgar Filing: ROYCE FOCUS TRUST INC - Form NSAR-B

048	I010000		0
048	I020000	0.000	
048	J010000		0
048	J020000	0.000	
048	K010000		0
048	K020000	0.000	
049	000000	N	
050	000000	N	
051	000000	N	
052	000000	N	
053	A000000	N	
054	A000000	N	
054	B000000	N	
054	C000000	N	
054	D000000	N	
054	E000000	N	
054	F000000	N	
054	G000000	N	
054	H000000	Y	
054	I000000	N	
054	J000000	N	
054	K000000	N	
054	L000000	N	
054	M000000	Y	
054	N000000	N	
054	O000000	N	
055	A000000	N	
055	B000000	N	
056	000000	Y	
057	000000	N	
058	A000000	N	
059	000000	Y	
060	A000000	Y	
060	B000000	Y	
061	000000		0
062	A000000	N	
062	B000000		0.0
062	C000000		0.0
062	D000000		0.0
062	E000000		0.0
062	F000000		0.0
062	G000000		0.0
062	H000000		0.0
062	I000000		0.0
062	J000000		0.0
062	K000000		0.0
062	L000000		0.0
	PAGE	9	
062	M000000		0.0
062	N000000		0.0
062	O000000		0.0
062	P000000		0.0
062	Q000000		0.0
062	R000000		0.0
063	A000000		0
063	B000000		0.0
066	A000000	Y	
066	B000000	N	
066	C000000	Y	
066	D000000	N	
066	E000000	N	
066	F000000	N	

Edgar Filing: ROYCE FOCUS TRUST INC - Form NSAR-B

066 G000000 N
 067 000000 N
 068 A000000 N
 068 B000000 N
 069 000000 N
 070 A010000 Y
 070 A020000 Y
 070 B010000 Y
 070 B020000 N
 070 C010000 Y
 070 C020000 N
 070 D010000 Y
 070 D020000 N
 070 E010000 N
 070 E020000 N
 070 F010000 N
 070 F020000 N
 070 G010000 Y
 070 G020000 N
 070 H010000 N
 070 H020000 N
 070 I010000 N
 070 I020000 N
 070 J010000 Y
 070 J020000 N
 070 K010000 Y
 070 K020000 N
 070 L010000 Y
 070 L020000 Y
 070 M010000 Y
 070 M020000 N
 070 N010000 Y
 070 N020000 Y
 070 O010000 Y
 070 O020000 N
 070 P010000 Y
 070 P020000 Y

PAGE 10

070 Q010000 N
 070 Q020000 N
 070 R010000 Y
 070 R020000 N
 071 A000000 39743
 071 B000000 43289
 071 C000000 170965
 071 D000000 23
 072 A000000 12
 072 B000000 0
 072 C000000 3136
 072 D000000 0
 072 E000000 5
 072 F000000 1745
 072 G000000 0
 072 H000000 17
 072 I000000 17
 072 J000000 46
 072 K000000 28
 072 L000000 28
 072 M000000 49
 072 N000000 0
 072 O000000 3
 072 P000000 0

Edgar Filing: ROYCE FOCUS TRUST INC - Form NSAR-B

072 Q000000	0
072 R000000	36
072 S000000	4
072 T000000	0
072 U000000	0
072 V000000	24
072 W000000	37
072 X000000	2034
072 Y000000	0
072 Z000000	1107
072AA000000	14457
072BB000000	5881
072CC010000	21821
072CC020000	0
072DD010000	994
072DD020000	0
072EE000000	7657
073 A010000	0.0460
073 A020000	0.0000
073 B000000	0.3540
073 C000000	0.0000
074 A000000	32
074 B000000	4055
074 C000000	2712
074 D000000	0
074 E000000	0
074 F000000	187275
PAGE 11	
074 G000000	0
074 H000000	0
074 I000000	0
074 J000000	0
074 K000000	0
074 L000000	305
074 M000000	14
074 N000000	194393
074 O000000	0
074 P000000	159
074 Q000000	0
074 R010000	0
074 R020000	0
074 R030000	0
074 R040000	2798
074 S000000	0
074 T000000	191436
074 U010000	22056
074 U020000	0
074 V010000	8.68
074 V020000	0.00
074 W000000	0.0000
074 X000000	594
074 Y000000	0
075 A000000	0
075 B000000	174507
076 000000	7.62
077 A000000	Y
077 B000000	Y
077 C000000	Y
077 D000000	N
077 E000000	N
077 F000000	N
077 G000000	N

Edgar Filing: ROYCE FOCUS TRUST INC - Form NSAR-B

077 H000000 N
077 I000000 N
077 J000000 N
077 K000000 N
077 L000000 N
077 M000000 N
077 N000000 N
077 O000000 N
077 P000000 N
078 000000 N
080 A000000 ICI MUTUAL INSURANCE COMPANY
080 C000000 15000
081 A000000 Y
081 B000000 36
082 A000000 Y
082 B000000 75
083 A000000 N
PAGE 12
083 B000000 0
084 A000000 N
084 B000000 0
085 A000000 Y
085 B000000 N
086 A010000 692
086 A020000 4995
086 B010000 0
086 B020000 0
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 COMMON STOCK
087 A020000 78080N108
087 A030000 FUND
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE DANI ENG
TITLE ASSISTANT SECRETARY