AstroNova, Inc. Form 8-K/A December 28, 2017

## **UNITED STATES**

## SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 8-K/A

(Amendment No. 1)

## **CURRENT REPORT**

Pursuant to Section 13 OR 15(d)

of The Securities Exchange Act of 1934

Date of report (Date of earliest event reported): November 20, 2017

## ASTRONOVA, INC.

(Exact name of registrant as specified in its charter)

Rhode Island (State or other jurisdiction

0-13200 (Commission 05-0318215 (I.R.S. Employer

of incorporation) File Number)

**Identification No.)** 

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#### 600 East Greenwich Avenue

#### West Warwick, RI 02893

(Address of principal executive offices) (Zip Code)

(401)-828-4000

#### Registrant s telephone number, including area code

## Not applicable

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

## **Explanatory Note**

This Form 8-K/A is being filed as an amendment ( Amendment No. 1 ) to the Current Report on Form 8-K filed by AstroNova, Inc. (the Company ) with the Securities and Exchange Commission on November 27, 2017 (the Original Filing ). The sole purpose of this Amendment No. 1 is to provide as Exhibit 10.1 hereto a corrected copy of the Company s Amended and Restated Employee Stock Purchase Plan, which was approved by the Company s Board of Directors on November 20, 2017.

# ITEM 9.01 FINANCIAL STATEMENTS AND EXHIBITS.

(d) Exhibits

#### **Exhibit**

No. Exhibit

10.1 <u>Amended and Restated AstroNova, Inc. Employee Stock Purchase Plan.</u>

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# **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: December 28, 2017

# ASTRONOVA, INC.

By: /s/ Joseph P. O Connell Joseph P. O Connell

Vice President and Interim Chief Financial Officer

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