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CARNIVAL CORP Form 8-K September 22, 2015

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF

THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported) September 22, 2015

Carnival Corporation

Exact name of registrant

as specified in its charter)

Republic of Panama

(State or other jurisdiction

of incorporation)

001-9610

(Commission File Number)

Carnival plc

(Exact name of registrant

as specified in its charter)

England and Wales

(State or other jurisdiction

of incorporation)

001-15136

(Commission File Number)

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59-1562976 (IRS Employer

98-0357772 (IRS Employer

Identification No.)

Identification No.)

3655 N.W. 87th Avenue

Carnival House, 100 Harbour Parade,

Miami, Florida 33178-2428

Southampton SO15 1ST, United Kingdom

(Address of principal

(Address of principal

executive offices)

executive offices)

(Zip Code)

(Zip Code)

(305) 599-2600

011 44 23 8065 5000

(Registrant s telephone number,

(Registrant s telephone number, including area code)

including area code)

None

None

(Former name or former address,

(Former name or former address,

if changed since last report.)

if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrants under any of the following provisions:

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Section 2 Financial Information

Item 2.02 Results of Operations and Financial Condition.

On September 22, 2015, Carnival Corporation & plc issued a press release entitled CARNIVAL CORPORATION & PLC REPORTS THIRD QUARTER EARNINGS WHICH MARKS THE COMPANY S STRONGEST QUARTERLY NON-GAAP PERFORMANCE ON RECORD. A copy of this press release is furnished as Exhibit 99.1 to this report. This information shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934, as amended, and is not incorporated by reference into any filing of either Carnival Corporation or Carnival plc, whether made before or after the date of this report, regardless of any general incorporation language in the filing.

Section 9 Financial Statements and Exhibits

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits.

Exhibit 99.1 Press release, dated September 22, 2015 (furnished pursuant to Item 2.02).

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, each of the registrants has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

CARNIVAL CORPORATION

By: <u>/s/ Larry Freedman</u> Name: Larry Freedman Title: Chief Accounting Officer and Controller

Date: September 22, 2015

CARNIVAL PLC

By: /s/ Larry Freedman Name: Larry Freedman Title: Chief Accounting Officer and Controller

Date: September 22, 2015

Exhibit Index

Exhibit No. Description

99.1 Press release, dated September 22, 2015 (furnished pursuant to Item 2.02)