

STIFEL FINANCIAL CORP
Form 8-K
March 03, 2015

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 8-K

CURRENT REPORT

Pursuant To Section 13 OR 15(d) of the
Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): March 3, 2015

STIFEL FINANCIAL CORP.

(Exact name of registrant as specified in its charter)

Delaware
(State of incorporation)

001-09305
(Commission File Number)

43-1273600
(IRS Employer

Identification No.)

501 N. Broadway, St. Louis, Missouri 63102-2188

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(Address of principal executive offices and zip code)

(314) 342-2000

(Registrant's telephone number, including area code)

Not Applicable

(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- .. Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- .. Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- .. Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- .. Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 8.01 Other Events.

Stifel Financial Corp. (the Company) filed its Annual Report on Form 10-K for the fiscal year ended December 31, 2014 with the Securities and Exchange Commission (the SEC) on March 2, 2015 (the Original Filing). The consent of Ernst & Young LLP, the Company s independent registered public accounting firm, filed as Exhibit 23.1 to the Original Filing, was missing the conformed signature. The conformed signature was inadvertently omitted from the electronic version of the Original Filing filed with the SEC through its Electronic Data Gathering, Analysis, and Retrieval (EDGAR) system, although the Company had manually signed copy of the consent in its possession at the time the Original Filing was made. A copy of the consent, including the conformed electronic signature of Ernst & Young LLP, is attached hereto as Exhibit 23.1.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits.

Exhibit Number	Description of Exhibit
23.1	Consent of Independent Registered Public Accounting Firm.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

STIFEL FINANCIAL CORP.

Date: March 3, 2015

By: /s/ James M. Zemlyak

Name: James M. Zemlyak

Title: President and Chief Financial Officer

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EXHIBIT INDEX

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