

NORTHERN TRUST CORP  
Form 8-K  
September 08, 2014

**UNITED STATES**  
**SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

**FORM 8-K**

**CURRENT REPORT**

**Pursuant to Section 13 or 15 (d)**

**of the Securities Exchange Act of 1934**

**Date of Report (Date of earliest event reported) September 8, 2014**

**NORTHERN TRUST CORPORATION**

**(Exact name of registrant as specified in its charter)**

**Delaware**

**(State or other jurisdiction of incorporation)**

**0-5965**  
**(Commission File Number)**

**36-2723087**  
**(IRS Employer Identification No.)**

**50 South LaSalle Street, Chicago, Illinois**  
**(Address of principal executive offices)**

**60603**  
**(Zip Code)**

**Registrant's telephone number, including area code (312) 630-6000**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- ☐ **Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)**
- ☐ **Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)**
- ☐ **Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))**
- ☐ **Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))**

**Item 7.01. Regulation FD Disclosure.**

On September 9, 2014 at approximately 8:15 a.m. (ET), Steven L. Fradkin, President of Wealth Management at Northern Trust Corporation (the Corporation), will speak at the Barclays Global Financial Services Conference (the Conference) in New York. The audio webcast of the presentation will be available live and for replay for 30 days from the presentation date and can be accessed from the Investor Relations section of the Corporation's website at [www.northerntrust.com/presentations](http://www.northerntrust.com/presentations). Attached as Exhibit 99.1 are the slides that Mr. Fradkin will present at the Conference.

The information in this Form 8-K, including Exhibit 99.1, is being furnished and shall not be deemed filed for purposes of Section 18 of the Securities Exchange Act of 1934 (the Exchange Act) or otherwise subject to the liabilities of that section, nor shall it be deemed to be incorporated by reference in any registration statement or other document filed under the Securities Act of 1933, as amended, or the Exchange Act, except as otherwise stated in such filing.

**Item 9.01. Financial Statements and Exhibits.**

(d) Exhibits.

99.1 Conference Slides.

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**NORTHERN TRUST CORPORATION**

(Registrant)

Date: September 8, 2014

By: /s/ Beverly J. Fleming  
Beverly J. Fleming  
Senior Vice President and  
Director of Investor Relations

**EXHIBIT INDEX**

| Exhibit<br>Number | Description of Exhibit |
|-------------------|------------------------|
| 99.1              | Conference Slides.     |