**MOSAIC CO** Form 4 July 23, 2014

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

**OMB APPROVAL** 

**OMB** Number:

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Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading MACK RICHARD L Issuer Symbol MOSAIC CO [MOS] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify X\_ Officer (give title C/O THE MOSAIC 07/21/2014 below) COMPANY, 3033 CAMPUS EVP, Chief Financial Officer DRIVE, SUITE E490 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting PLYMOUTH, MN 55441

(City)	(State)	(Zip) Tabl	le I - Non-I	Derivative :	Secur	ities Acqu	uired, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)				5. Amount of 6. Securities Ownership Beneficially Form: Direct Owned (D) or Following Indirect (I) Reported (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	07/21/2014		M	4,720	A	\$ 0	56,383	D	
Common Stock	07/21/2014		A	3,044 (7)	A	\$ 0	59,427	D	
Common Stock	07/21/2014		A	42,345 (8)	A	\$ 0	101,772	D	
Common Stock	07/21/2014		F(9)	23,020	D	\$ 47.23	78,752	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	Secur Acqu (A) o Dispo (D)	rities nired or osed of r. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy)	\$ 17.29						(3)	08/01/2015	Common Stock	36,382
Stock Option (right to buy)	\$ 15.45						(3)	08/04/2016	Common Stock	47,319
Stock Option (Right to Buy)	\$ 40.03						(3)	08/02/2017	Common Stock	19,368
Stock Option (Right to Buy)	\$ 127.21						(3)	07/31/2018	Common Stock	5,486
Stock Option (Right to Buy)	\$ 52.72						(3)	07/27/2019	Common Stock	10,216
Stock Option (Right to Buy)	\$ 44.93						(3)	07/27/2020	Common Stock	15,194
Stock Option (Right to Buy)	\$ 70.62						(3)	07/21/2021	Common Stock	10,767

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Restricted Stock Units	\$ 0 (1)	07/21/2014	M	4,720	07/21/2014	(2)	Common Stock	4,720
Stock Option (Right to Buy)	\$ 57.62				<u>(4)</u>	07/19/2022	Common Stock	17,483
Restricted Stock Units	\$ 0 (1)				07/19/2015	(2)	Common Stock	6,942
Stock Option (Right to Buy)	\$ 54.03				<u>(5)</u>	07/18/2023	Common Stock	18,939
Restricted Stock Units	\$ 0 (1)				07/18/2016	(2)	Common Stock	7,403
Stock Option (Right to Buy)	\$ 49.73				<u>(6)</u>	03/07/2024	Common Stock	21,288
Restricted Stock Units	\$ 0 (1)				03/07/2017	(2)	Common Stock	8,043

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

MACK RICHARD L C/O THE MOSAIC COMPANY 3033 CAMPUS DRIVE, SUITE E490

EVP, Chief Financial Officer

# **Signatures**

PLYMOUTH, MN 55441

/s/ Mark J. Isaacson, Attorney-in-Fact for Richard L.
Mack
07/23/2014

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One-for-One
- (2) Not Applicable

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- (3) This Stock Option is 100% exercisable.
- (4) Grant Date 07/19/2012; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (5) Grant Date 07/18/2013; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (6) Grant Date 03/07/2014; option becomes exercisable in three equal installments commencing on the first anniversary of the grant date and on each anniversary thereafter.
- (7) Shares issued to reporting person upon the vesting of a performance unit award granted to reporting person on July 21, 2011. The performance unit award was not a derivative security.
- (8) Shares issued to reporting person upon vesting of a retention award granted to reporting person on July 21, 2011. The retention award was not a derivative security.
- (9) Shares sold to cover tax liability incurred as a result of most recent vesting of Restricted Stock Units, Performance Units and Retention Award.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.