

American Water Works Company, Inc.

Form 4

March 05, 2014

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
ROGERS WILLIAM D

2. Issuer Name **and** Ticker or Trading
Symbol
**American Water Works Company,
Inc. [AWK]**

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)

1025 LAUREL OAK ROAD

(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
03/04/2014

____ Director ____ 10% Owner
☒ Officer (give title below) ____ Other (specify below)

Vice President and Treasurer

VOORHEES, NJ 08043

(City) (State) (Zip)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	03/04/2014		M		3,353	A	\$ 27.08
Common Stock	03/04/2014		M		2,821	A	\$ 34.12
Common Stock	03/04/2014		M		1,491	A	\$ 39.45
Common Stock	03/04/2014		S		7,665	D	\$ 44.47

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 27.08	03/04/2014		M	3,353	<u>(1)</u> 12/31/2017	Common Stock	3,353
Employee Stock Option (right to buy)	\$ 34.12	03/04/2014		M	2,821	<u>(2)</u> 12/31/2018	Common Stock	2,821
Employee Stock Option (right to buy)	\$ 39.45	03/04/2014		M	1,491	<u>(3)</u> 12/31/2019	Common Stock	1,491

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ROGERS WILLIAM D 1025 LAUREL OAK ROAD VOORHEES, NJ 08043			Vice President and Treasurer	

Signatures

/s/ Thomas Wyatt by Power of Attorney previously
filed

03/05/2014

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option award granted on February 24, 2011, representing a right to purchase 10,058 shares, vested in three equal installments on January 1, 2012, 2013 and 2014.
- (2) The option award granted on February 23, 2012, representing a right to purchase 8,462 shares, vests in three equal installments on January 1, 2013, 2014 and 2015.
- (3) The option award granted on February 21, 2013, representing a right to purchase 4,475 shares, vests in three equal installments on January 1, 2014, 2015 and 2016.
- (4) 6,705 shares of this option award were previously exercised and reported.
- (5) 2,820 shares of this option award were previously exercised and reported.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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