### Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

### INTERNATIONAL BUSINESS MACHINES CORP

Form 4

November 07, 2013

1. Title of 2.

FORI	И Д						PPROVAL	
	UNITED	STATES SI	ECURITIES Al Washington,	ND EXCHANGE D.C. 20549	E COMMISSION	OMB Number:	3235-028	
if no lo subject Section Form 4	to SIAIEN 16. or	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES				Expires:  Estimated burden houresponse	ırs per	
Form 5 obligati may co See Inst	ions Section 170	(a) of the Pub	olic Utility Hold	Securities Excha ing Company Act Company Act of 1	of 1935 or Section	on		
Print or Type	e Responses)							
1. Name and Address of Reporting Person *\bigsep van Kralingen Bridget A			. Issuer Name <b>and</b> mbol ITERNATIONA ACHINES COR	AL BUSINESS	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) (First) (Middle)  IBM CORPORATION, 63  MADISON AVE.			Date of Earliest Tra Ionth/Day/Year) /06/2013	nsaction	Director 10% Owner Officer (give title Other (specify below)  Senior Vice President			
NEW YOI	(Street)		If Amendment, Dat ed(Month/Day/Year)	e Original	6. Individual or Applicable Line) _X_ Form filed by Form filed by Person		erson	
(City)	(State)	(Zip)	Table I - Non-Do	erivative Securities A	Acquired, Disposed o	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Dat any (Month/Day/Y	e, if Transaction.  Code l Year) (Instr. 8) (	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) Price	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Reminder: Re	eport on a separate line	e for each class	of securities benefic	cially owned directly	or indirectly.			
				information contrequired to resp	spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)	
	Tab	le II - Derivati	ve Securities Acqu	ired, Disposed of, or	Beneficially Owned	i		

(e.g., puts, calls, warrants, options, convertible securities)

4.

5.

3. Transaction Date 3A. Deemed

Derivative Conversion (Month/Day/Year) Execution Date, if TransactionNumber Expiration Date

Underlying Securities Derivative

6. Date Exercisable and 7. Title and Amount of 8. Price of

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Year)	(Instr. 3 and	4)	Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Unit	\$ 0 (1)	11/06/2013		A(2)	68	(3)	(3)	Common Stock	68	\$ 0

# **Reporting Owners**

Reporting Owner Name / Address	Relationships
Reporting Owner Manie / Address	

Director 10% Owner Officer Other

van Kralingen Bridget A IBM CORPORATION 63 MADISON AVE. NEW YORK, NY 10016

Senior Vice President

## **Signatures**

M. F. SooHoo on behalf of B. A. Van Kralingen

11/07/2013

Date

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Phantom stock units convert to the cash value of the company's common stock on a one-for-one basis.
- (2) Acquisition of phantom stock units under the IBM Excess 401(k) Plus Plan.
- (3) Distribution of phantom stock units under the IBM Excess 401(k) Plus Plan is deferred until separation from the company. The reporting person may transfer these phantom stock units into an alternative investment account under such plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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