Gevo, Inc. Form 4 March 25, 2013

### FORM 4

Form 5

Check this box

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or

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**OMB APPROVAL** 

3235-0287

January 31,

**OMB** 

Number:

Expires:

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

(Print or Type Responses)

KISHORE GANESH Issuer Symbol Gevo, Inc. [GEVO] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) X\_ Director 10% Owner Officer (give title Other (specify GEVO, INC., 345 INVERNESS 03/21/2013 DRIVE SOUTH, BUILDING C,

**SUITE 310** 

1. Name and Address of Reporting Person \*

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

5. Relationship of Reporting Person(s) to

ENGLEWOOD, CO 80112

(State)

(Zip)

(City)

| (City)  | (State) (Z | Zip) Table             | I - Non-D          | erivative S                                     | ecurities | Acquired, Disposed                                       | of, or Beneficial                | lly Owned               |
|---|------------|------------------------|--------------------|---|-----------|--|----------------------------------|-------------------------|
| 1.Title of 2. Transaction Date Security (Month/Day/Year) (Instr. 3) |            | Execution Date, if any | Code               | 4. Securities Acquired on(A) or Disposed of (D) |           | Securities Beneficially                                  | 6. Ownership Form: Direct (D) or | Indirect<br>Beneficial  |
|   |            | (Month/Day/Year)       | (Instr. 8)  Code V | (Instr. 3, 4) Amount                            | (A) or    | Owned Following Reported Transaction(s) (Instr. 3 and 4) | Indirect (I)<br>(Instr. 4)       | Ownership<br>(Instr. 4) |
| Common<br>Stock   | 03/21/2013 |                        | A                  | 32,051  | A \$      | 5 0 52,258 <u>(1)</u>                                    | D                                |                         |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: Gevo, Inc. - Form 4

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount<br>Underlying Securitie<br>(Instr. 3 and 4) |                                       |
|---|---|---|---|--|---|--|--------------------|---|---------------------------------------|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amour<br>or<br>Number<br>of<br>Shares |
| Non-Qualified<br>Stock Option<br>(right to buy)     | \$ 1.89   | 03/21/2013                              |   | A                                      | 6,250   | (2)  | 03/15/2023         | Common<br>Stock   | 6,25                                  |

## **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

KISHORE GANESH GEVO, INC., 345 INVERNESS DRIVE SOUTH BUILDING C, SUITE 310 ENGLEWOOD, CO 80112



### **Signatures**

/s/ Brett Lund, Attorney-in-Fact

03/25/2013

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Additionally, the reporting person is deemed to be the beneficial owner of 1,671,425 shares of the Issuer's common stock held by Malaysian Life Sciences Capital Fund Ltd. as previously reported on the Form 4 filed on February 15, 2011.
- (2) This option was granted on March 15, 2013 and is exercisable as the option vests. The shares subject to this option vest in equal monthly installments over three years beginning on March 15, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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