Edgar Filing: ROLLAND ALAIN P PHD - Form 4

ROLLAND Form 4		P PHD											
January 15, 2													
FORM	14	MITED	статге	SECUD	ITIES	•	ND FYC	TI A N	JCF (OMMISSION		PPROVAL	
Check th	Washington, D.C. 20549									OMB Number:	3235-0287		
if no long	ter										Expires:	January 31, 2005	
subject to Section 1 Form 4 o	.6.	STATEMENT OF CHANGES IN BENEFICIAL OV SECURITIES							LOW	NEKSHIP OF	Estimated a burden hou response	average	
Form 5 obligation may cont <i>See</i> Instru 1(b).	ns Sec	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section											
(Print or Type I	Responses)												
1. Name and Address of Reporting Person <u>*</u> ROLLAND ALAIN P PHD				2. Issuer Name and Ticker or Trading Symbol VICAL INC [VICL]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)	(First)	()	Middle)	3. Date of Earliest Transaction				(Chec.	к ап аррпсавіе	;)			
10390 PACIFIC CENTER COURT			(Month/Day/Year) 01/11/2013						Director 10% Owner XOfficer (give title Other (specify below) below) below) Exec VP, Product Development				
	(Street)		4. If Ame	Amendment, Date Original					6. Individual or Joint/Group Filing(Check			
SAN DIEG	O, CA 92	2121		Filed(Mon	th/Day/Y	ear)				Applicable Line) _X_ Form filed by C Form filed by M Person			
(City)	(State)	I	(Zip)	Table	e I - Nor	ı-D	erivative S	ecurit	ties Acq	uired, Disposed of	, or Beneficial	ly Owned	
(Instr. 3) any			n Date, if Transaction(A) or Dispo Code (Instr. 3, 4 ar Day/Year) (Instr. 8)				sposed 4 and 5 (A)	posed of (D) Securities and 5) Beneficially Owned Following (A) Transaction		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common					Code	v	Amount	or (D)	Price \$	(Instr. 3 and 4)			
Stock \$.01 par value	01/11/2	.013			Р		22,092	А	0.01 (5)	182,023	D		
Common Stock \$.01 par value	01/11/2	.013			F		1,097 (1)	D	\$ 3.31	180,926	D		
Common Stock \$.01 par value	01/13/2	.013			F		2,370 (2)	D	\$ 3.31	178,556 <u>(3)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Edgar Filing: ROLLAND ALAIN P PHD - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secu (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	An or Nu of
Employee Stock Option (right to buy)	\$ 3.31	01/11/2013		А	27,837	01/11/2014(4)	01/10/2023	Common Stock	2′
Nonstatutory Stock Option (right to buy)	\$ 3.31	01/11/2013		А	97,163	01/11/2014(4)	01/10/2023	Common Stock	9′

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Othe				
ROLLAND ALAIN P PHD 10390 PACIFIC CENTER COURT SAN DIEGO, CA 92121			Exec VP, Product Development					
Signatures								
Sandy R. Medina (via Power of Attorney)		01/15/2013						

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld for taxes upon the release of restricted stock granted on January 11, 2010.
- (2) Represents shares withheld for taxes upon the release of restricted stock granted on January 13, 2012.
- (3) This balance includes 84,842 of unvested restricted stock units.
- (4) The right to exercise the above stock options generally vests 25% on the first anniversary date of the grant, with the remaining rights vesting quarterly over the remaining three years.

ner

Edgar Filing: ROLLAND ALAIN P PHD - Form 4

(5) Shares were acquired pursuant to a restricted stock grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.