Baldock Philip A Form 3 October 06, 2011

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

Baldock Philip A

(Last)

(First)

Statement

(Month/Day/Year)

10/03/2011

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

BEAM INC [NYSE: BEAM]

(Check all applicable)

SVP & President, APSA

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

BEAM INC., Â 510 LAKE COOK ROAD

(Street)

Director _X__ Officer

10% Owner Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

DEERFIELD, ILÂ 60015

(City) (State)

1. Title of Security (Instr. 4)

(Zip)

(Middle)

2. Amount of Securities

Beneficially Owned

(Instr. 4)

Table I - Non-Derivative Securities Beneficially Owned

Ownership Form: Direct (D)

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)

4. Conversion or Exercise Price of Derivative

Ownership Form of Derivative Security:

6. Nature of Indirect Beneficial Ownership

(Instr. 5)

Date Exercisable Expiration Date

Title Amount or Number of Shares

Security Direct (D) or Indirect

(I)

5.

1

						(Instr. 5)	
Employee Stock Option (Right to Buy) (1)	(2)	09/28/2014	Beam Inc. Common Stock	8,921	\$ 68.89	D	Â
Employee Stock Option (Right to Buy) (1)	(3)	09/27/2012	Beam Inc. Common Stock	9,000	\$ 82.16	D	Â
Employee Stock Option (Right to Buy) (1)	(4)	09/26/2013	Beam Inc. Common Stock	9,000	\$ 74.39	D	Â
Employee Stock Option (Right to Buy) (1)	(5)	09/24/2014	Beam Inc. Common Stock	9,000	\$ 80.95	D	Â
Employee Stock Option (Right to Buy) (1)	09/29/2011	09/29/2015	Beam Inc. Common Stock	2,999	\$ 57.01	D	Â
Employee Stock Option (Right to Buy) (1)	(6)	09/30/2016	Beam Inc. Common Stock	8,366	\$ 42.98	D	Â
Employee Stock Option (Right to Buy) (1)	(7)	02/22/2017	Beam Inc. Common Stock	9,999	\$ 43.67	D	Â
Employee Stock Option (Right to Buy) (1)	(8)	02/22/2021	Beam Inc. Common Stock	20,000	\$ 61.54	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships				
•	Director	10% Owner	Officer	Other	
Baldock Philip A					
BEAM INC.	â	Â	SVP & President, APSA	â	
510 LAKE COOK ROAD	A	A	A SVF & Fleshdellt, AFSA	A	
DEERFIELD, IL 60015					

Signatures

Todd M. Bloomquist, as Attorney-in-Fact for Philip
Baldock

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) As a result of the Issuer's spin-off of Fortune Brands Home & Security, Inc. on October 3, 2011, these securities are subject to an intrinsic value adjustment as described in the Issuer's Long-Term Incentive Plans and the Employee Matters Agreement dated as of September 28,

Reporting Owners 2

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2011.

- (2) The options vested in three equal annual installments beginning on September 28, 2005.
- (3) The options vested in three equal annual installments beginning on September 27, 2006.
- (4) The options vested in three equal annual installments beginning on September 26, 2007.
- (5) The options vested in three equal annual installments beginning on September 24, 2008.
- (6) The options vested as to 4,183 shares on September 30, 2011 and will vest as to the remaining 4,183 shares on September 30, 2012.
- (7) The options vest in two equal annual installments beginning on February 22, 2012.
- (8) The options vest in three equal annual installments beginning on February 22, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.