

BIRCHFIELD JOHN W

Form 4

March 18, 2011

**FORM 4**
**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BIRCHFIELD JOHN W

2. Issuer Name **and** Ticker or Trading  
Symbol  
First California Financial Group, Inc.  
[FCAL]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)  
3027 TOWNSGATE ROAD, SUITE  
300

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/16/2011

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

WESTLAKE VILLAGE, CA 91361

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)   | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |
|-----------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|---|
|                                         |                                         |                                                             | Code                                    | V                                                                          | Amount<br>(A)<br>or<br>(D)                                                                                         | Price                                                                   |                                                                   |   |
| Common<br>Stock,<br>\$0.01 par<br>value | 03/16/2011                              |                                                             | A                                       |                                                                            | 3,969<br>(1)                                                                                                       | A \$ 0                                                                  | 485,995 (2)                                                       | D |

Common  
Stock,  
\$0.01 par  
value

66,234 (3)

I

As Trustee  
for the  
Shane O.  
Birchfield  
Trust

Common  
Stock,

43,893 (3)

I

As Trustee  
for the

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|                                |  |                        |   |  |  |  |                                                                                |
|--------------------------------|--|------------------------|---|--|--|--|--------------------------------------------------------------------------------|
| \$0.01 par value               |  |                        |   |  |  |  | Garrett W. Birchfield Trust                                                    |
| Common Stock, \$0.01 par value |  | 679,531 <sup>(3)</sup> | I |  |  |  | As Co-Trustee for the James O. Birchfield 1995 Trust                           |
| Common Stock, \$0.01 par value |  | 47,281 <sup>(3)</sup>  | I |  |  |  | See Footnote <sup>(4)</sup>                                                    |
| Common Stock, \$0.01 par value |  | 47,281 <sup>(3)</sup>  | I |  |  |  | See Footnote <sup>(5)</sup>                                                    |
| Common Stock, \$0.01 par value |  | 47,281 <sup>(3)</sup>  | I |  |  |  | As Co-Trustee for the James O. Birchfield 1995 Trust FBO Tenisha M. Fitzgerald |
| Common Stock, \$0.01 par value |  | 47,281 <sup>(3)</sup>  | I |  |  |  | As Co-Trustee for the James O. Birchfield 1995 Trust FBO Brian J. Aldridge     |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities | 8. Price of Derivative Security (Instr. 5) | 9. Net Derivative Security Beneficially Owned |
|--------------------------------------------|------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------|----------------------------------------------------------|----------------------------------------------|--------------------------------------------|-----------------------------------------------|
|--------------------------------------------|------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------|----------------------------------------------------------|----------------------------------------------|--------------------------------------------|-----------------------------------------------|

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Derivative  
Security

Securities  
Acquired  
(A) or  
Disposed  
of (D)  
(Instr. 3,  
4, and 5)

(Instr. 3 and 4)

Own  
Follo  
Repo  
Trans  
(Instr

| Code | V | (A) | (D) | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |
|------|---|-----|-----|---------------------|--------------------|-------|----------------------------------------|
|------|---|-----|-----|---------------------|--------------------|-------|----------------------------------------|

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director    10% Owner    Officer    Other

BIRCHFIELD JOHN W  
3027 TOWNSGATE ROAD, SUITE 300    X  
WESTLAKE VILLAGE, CA 91361

## Signatures

/s/ Romolo Santarosa,  
Attorney-in-Fact    03/18/2011

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Represents restricted stock that will vest in one-third increments annually on each of March 16, 2012, 2013 and 2014.

Includes previously reported 3,045 shares of restricted stock granted on February 25, 2010 that vest in one-third increments annually on  
(2) each of February 25, 2010, 2011 and 2012 and 1,765 shares of restricted stock granted on March 19, 2008 that vest in one-third increments annually on each of March 19, 2009, 2010 and 2011.

(3) The reporting person disclaims beneficial ownership of these shares, and this report shall not be deemed an admission that the reporting person is a beneficial owner of such shares for the purposes of Section 16 or for any other purpose.

(4) As Co-Trustee for the James O. Birchfield 1995 Trust FBO Shane O. Birchfield.

(5) As Co-Trustee for the James O. Birchfield 1995 Trust FBO Garrett W. Birchfield.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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