Edgar Filing: CALDWELL ROBERT S - Form 4/A

CALDWELL	ROBERT S										
Form 4/A											
December 17,	2010										
FORM	4 UNITED		SECUDI		ID EVCI	T A N TA		MARCEION		PPROVAL	
Washington, D.C. 20549							JMIMI55ION	OMB Number:	3235-0287		
Check this l if no longer						.	01101		Expires:	January 31, 2005	
subject to Section 16. Form 4 or	S	CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES						rs per 0.5			
Form 5 obligations may continu <i>See</i> Instruct 1(b).	ue. Section 17(a	a) of the		ity Holdi	ing Comp	any A	Act of 1	Act of 1934, 1935 or Section	I		
(Print or Type Res	sponses)										
1. Name and Address of Reporting Person <u>*</u> CALDWELL ROBERT S			8				5. Relationship of Reporting Person(s) to Issuer				
			[CADE]					(Check all applicable)			
(Last) (First) (Middle) P. O. BOX 907			(Month/Dav/Year)				X Director Officer (give t pelow)	title 10% Owner Other (specify below)			
COLUMBUS	(Street) , MS 39703		4. If Amend Filed(Month/ 12/14/201	/Day/Year)	e Original		-	5. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by M Person	ne Reporting Pe	rson	
(City)	(State)	(Zip)	Table I	- Non-De	erivative Se	curitie	es Acqui	ired, Disposed of,	or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Yea	r) Execu any	eemed tion Date, if h/Day/Year)	Code (Instr. 3, 4 and 5) Year) (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Cadence				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
Financial Corporation Common Stock	12/14/2010	12/14	4/2010	S	10,000	D	\$ 2.45	47,000	D		
Cadence Financial Corporation Common Stock								170	I	IRA	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securi	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
	-				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
									Amount		
						Date	Expiration	Title	0ľ Numbor		
						Exercisable	Date	The	Number		
				Code V	(Λ) (D)				of		
				Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Addre	SS	Relationships							
	Director	10% Owner	Officer	Other					
CALDWELL ROBERT S P. O. BOX 907 COLUMBUS, MS 39703	Х								
Signatures									
Robert S. Caldwell, Jr.	12/14/2010								
<u>**</u> Signature of Reporting Person	Date								

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.