

HARDING MARK A
Form 3
September 09, 2010

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â HARDING MARK A		(Month/Day/Year)	FARMER BROTHERS CO [FARM]	
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
20333 SOUTH NORMANDIE AVE.			(Check all applicable)	
(Street)			___ Director	___ 10% Owner
			<u> X </u> Officer	___ Other
			(give title below)	(specify below)
TORRANCE, Â CA Â 90502			SR. V.P. OPERATIONS	
(City)	(State)	(Zip)	6. Individual or Joint/Group Filing(Check Applicable Line)	
			<u> X </u> Form filed by One Reporting Person	
			___ Form filed by More than One Reporting Person	

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	300 ⁽¹⁾	D	Â
Common Stock	300 ⁽²⁾	D	Â
Common Stock	1,463 ⁽³⁾	D	Â
Common Stock	1,337 ⁽⁴⁾	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: HARDING MARK A - Form 3

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date <small>(Month/Day/Year)</small>	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)		
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Stock option (right to buy)	Â <u>(5)</u>	03/03/2015	Common Stock	3,000	\$ 22.11	D	Â
Stock option (right to buy)	Â <u>(6)</u>	12/11/2015	Common Stock	3,000	\$ 21.76	D	Â
Stock option (right to buy)	Â <u>(7)</u>	12/10/2016	Common Stock	9,537	\$ 18.41	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HARDING MARK A 20333 SOUTH NORMANDIE AVE. TORRANCE,Â CAÂ 90502	Â	Â	Â SR. V.P. OPERATIONS	Â

Signatures

/s/ MARK A
HARDING

09/09/2010

**Signature of Reporting
Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of restricted stock under the 2007 Omnibus Plan; 100% of the shares will vest on March 3, 2011, subject to acceleration provisions of the 2007 Omnibus Plan and restricted stock agreement.
- (2) Grant of restricted stock under the 2007 Omnibus Plan; 100% of the shares will vest on December 11, 2011, subject to acceleration provisions of the 2007 Omnibus Plan and restricted stock agreement.
- (3) Grant of restricted stock under the 2007 Omnibus Plan; 100% of the shares will vest on December 10, 2012, subject to acceleration provisions of the 2007 Omnibus Plan and restricted stock agreement.
- (4) Shares that have been allocated to the reporting person as of the reporting date pursuant to the Employee Stock Ownership Plan.
- (5) Grant of non-qualified stock option; the stock option vests pursuant to a three year vesting schedule, whereby one-third of total number of shares issuable under the option becomes exercisable each year on the anniversary of the grant date, commencing on March 3, 2009, subject to acceleration provisions of the 2007 Omnibus Plan and stock option agreement.
- (6) Grant of non-qualified stock option; the stock option vests pursuant to a three year vesting schedule, whereby one-third of total number of shares issuable under the option becomes exercisable each year on the anniversary of the grant date, commencing on December 11, 2009, subject to acceleration provisions of the 2007 Omnibus Plan and stock option agreement.
- (7) Grant of non-qualified stock option; the stock option vests pursuant to a three year vesting schedule, whereby one-third of total number of shares issuable under the option becomes exercisable each year on the anniversary of the grant date, commencing on December 10, 2010, subject to acceleration provisions of the 2007 Omnibus Plan and stock option agreement.

Edgar Filing: HARDING MARK A - Form 3

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.