Edgar Filing: RINGO PHILIP J - Form 4

RINGO PHII	LIP J										
Form 4											
July 01, 2010											
FORM	$ 4 _{\text{UNITED}}$	татб	SECUD	TTIES A	ND EV	~Ц А	NCEC	OMMISSION		PROVAL	
	UNITED	DIAILO		hington,			NGE C	OMMISSION	OMB Number:	3235-0287	
	Check this box if no longer							Expires:	January 31, 2005		
subject to Section 16. Form 4 or				GES IN I SECUR		ICIA	LOW	NERSHIP OF	Estimated a burden hou response	verage	
Form 5 obligatior may conti <i>See</i> Instru 1(b).	$\frac{18}{1000}$ Section 17(a	a) of the l	Public Ut		ling Con	ipany	y Act of	e Act of 1934, 1935 or Section 0	·		
(Print or Type R	Responses)										
			2. Issuer Name and Ticker or Trading Symbol GENESEE & WYOMING INC					5. Relationship of Reporting Person(s) to Issuer			
		[GWR]					(Check all applicable)				
(Mo			(Month/D	Date of Earliest Transaction onth/Day/Year) /30/2010				Officer (give titleOther (specify below) Dther (specify below)			
INC., 66 FIE	ELD POINT ROA	D									
			endment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
GREENWIC	CH, CT 06830							Form filed by M Person	Iore than One Re	porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price			d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Class A Common Stock, \$.01 par value	06/30/2010			A	640 <u>(1)</u>		\$ 38.04	97,366	D		
Class A Common Stock, \$.01 par value								18,730.5	I	By spouse (2)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Mumber of Derivative Securities		ate	7. Titl Amou Under Securi	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne
	Security			Acquired			(msu.	5 and 4)		Follo
				(A) or						Repo
				Disposed of (D)						Trans (Instr
				(Instr. 3,						
				4, and 5)						
					Date Exercisable	Expiration Date	Title	Amount or Number of		
			Code V	(A) (D)				Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
Treporting of the Transferration	Director 10% Owner		Officer	Other			
RINGO PHILIP J C/O GENESEE & WYOMING INC. 66 FIELD POINT ROAD GREENWICH, CT 06830	Х						
Signatures							
Allison M. Fergus, Attorney-in-Fact for Ringo	07/01/2010						
**Signature of Reporting Person		Date					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

These are deferred stock units acquired pursuant to the deferred stock arrangements for non-employee directors in accordance with the terms of the Amended and Restated 2004 Omnibus Incentive Plan ("Omnibus Plan") of Genesee & Wyoming Inc. (the "Company"). The

- (1) deferred stock units are converted into shares of the Company's Class A Common Stock on a one-for-one basis upon distribution. Distribution of shares of Class A Common Stock occurs the first day of the calendar month after a director's service on the Company's Board of Directors terminates or upon another date or dates designated by the director pursuant to the Omnibus Plan.
- (2) These shares are owned by Mr. Ringo's wife. Mr. Ringo disclaims beneficial ownership of these shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.