Whisten Scott Form 3 December 31, 2008

## FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Addro	ess of Repo	rting	2. Date of Event Requiring		3. Issuer Name and Ticker or Trading Symbol				
Person <u>*</u> Whisten Sco		Ü	Statement (Month/Day/Year) 07/14/2008		Nicholas-Applegate Equity & Convertible Income F [NIE]				
(Last) (l	First)	(Middle)	07/14/2008		4. Relationship of Reporting Person(s) to Issuer		5. If Amendment, Date Original Filed(Month/Day/Year)		
1345 AVENUE OF THE AMERICAS, 47TH FLOOR					(Check all applicable)			Treatmontus Sulf Teat)	
(Street) NEW YORK, NY 10105					Director 10% Owner Other (give title below) (specify below)  Assistant Treasurer			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City) (S	State)	(Zip)		Table I - N	Non-Deriva	tive Securiti	es Be	neficially Owned	
1.Title of Security (Instr. 4)				2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	*	
NO SECURITI OWNED	IES BENI	EFICIALI	LY	0		D	Â		
Reminder: Report of the country of t		e line for ea	ch class of secu	urities benefic	ially S	SEC 1473 (7-02	)		
·	Persons informa require	ntion conta d to respo	pond to the cained in this find unless the	orm are not e form displ					

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	

## Edgar Filing: Whisten Scott - Form 3

Date Expiration Title Exercisable Date

Amount or Derivative Number of Security Shares

Direct (D) or Indirect (I)

Security:

(Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Whisten Scott

1345 AVENUE OF THE AMERICAS 47TH FLOOR NEW YORK, NYÂ 10105

Â Assistant Treasurer Â

NEW TORK,ANTATON

**Signatures** 

/s/ Thomas J. Fuccillo, Attorney in Fact

12/31/2008

Â

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2