THERMAGE INC Form 4

February 12, 2008

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** Washington, D.C. 20549 Number: Expires:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

30(h) of the Investment Company Act of 1940

response... Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Buckman Pamela M

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(First) (Last) (Middle) THERMAGE INC [THRM]

03/31/2007

(Check all applicable)

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

C/O THERMAGE, INC., 25881

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner Other (specify \_X\_\_ Officer (give title below)

INDUSTRIAL BOULEVARD

4. If Amendment, Date Original Filed(Month/Day/Year)

VP Clinical & Reg Affairs 6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

HAYWARD, CA 94545

(City)	(State)	(Zip) Tabl	le I - Non-L	<b>Derivative</b>	Secui	ities Ac	quired, Disposed	of, or Benefici	ally Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	or	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	03/31/2007		F	305	D	\$ 9.1	2,195 <u>(1)</u>	D		
Common Stock	06/30/2007		F	306	D	\$ 8.34	2,195 (1)	D		
Common Stock	09/30/2007		F	306	D	\$ 7.08	2,195 (1)	D		
Common Stock	12/31/2007		F	305	D	\$ 5.78	2,195 (1)	D		
Common Stock							56,666	I (2)	By David Schlerf and Pamela	

#### Edgar Filing: THERMAGE INC - Form 4

Buckman Revocable Trust

(9-02)

9. Nu Deriv Secu Bene Own Follo Repo Trans (Insti

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	Secu Acq	vative varities uired or posed D) cr. 3,	6. Date Exerc Expiration De (Month/Day/	ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	
				Code	V (A)		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

### **Reporting Owners**

Relationships Reporting Owner Name / Address Director 10% Owner Officer Other

Buckman Pamela M C/O THERMAGE, INC. 25881 INDUSTRIAL BOULEVARD HAYWARD, CA 94545

VP Clinical & Reg Affairs

### **Signatures**

/s/ Pamela M. 02/11/2008 Buckman

\*\*Signature of Reporting Date

Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- **(1)** The number of shares reflected in Column 5 represents the number of shares beneficially owned as of the date of this filing.

**(2)** 

Reporting Owners 2

#### Edgar Filing: THERMAGE INC - Form 4

These securities are owned directly by The David Schlerf and Pamela Buckman Revocable Trust fbo David Schlerf and Pamela Buckman and their issue under instrument dated August 16, 1991 and indirectly by Pamela Buckman, as a trustee of the aforementioned trust, and Ms. Buckman disclaims beneficial ownership of these securities except to the extent of her pecuniary interests therein.

#### **Remarks:**

Late Form 4 filed in connection with surrender of stock to satisfy tax withholding obligation on vesting of restricted stock unit Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.