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EMBARCADERO TECHNOLOGIES INC Form 4

November 01, 2006												
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION										OMB APPROVAL		
	Washington, D.C. 20549									OMB Number:		-0287
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									,	Expires: January 31, 2005 Estimated average burden hours per response 0.5		
(Print or Type Response	s)											
1. Name and Address of Reporting Person <u>*</u> HAROIAN GARY E			2. Issuer Name and Ticker or Trading Symbol EMBARCADERO TECHNOLOGIES INC [EMBT]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (Fir C/O EMBARCAD TECHNOLOGIES CALIFORNIA ST FLOOR	ERO , INC, 10			of Earliest Day/Year) 2006	Tran	saction		X Director Officer (gi below)	ive ti		6 Owner er (specify	,
(Str	Filed(Month/Day/Year) App _X_						Applicable Line) _X_ Form filed b	Form filed by One Reporting Person				
SAN FRANCSICC), CA 941	11						Person	у Мс	ore than One R	eporting	
(City) (Sta	te)	(Zip)	Tab	ole I - Non	-Der	ivative	Securities A	cquired, Disposed	of,	or Beneficia	lly Owne	d
	action Date Day/Year)	2A. Deem Execution any (Month/Da	Date, if	3. Transacti Code (Instr. 8) Code V	onA D (I	isposed nstr. 3, 4	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Fo (D (I)	Ownership rm: Direct) or Indirect nstr. 4)	7. Natur Indirect Benefici Ownersh (Instr. 4)	al 1ip
Reminder: Report on a	separate line	e for each cl	ass of sec	urities ben	efici	ally own	ned directly	or indirectly.				
						inform requir	nation cont ed to response ays a curre	spond to the collo tained in this form ond unless the for ntly valid OMB co	m a orm	re not	EC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Reporting Owner Name / Address		Relationsh		
	Director	10% Owner	Officer	Other
HAROIAN GARY E C/O EMBARCADERO TECHNOLOGIES, INC 100 CALIFORNIA STREET, 12TH FLOOR SAN FRANCSICO, CA 94111	Х			
Signatures				
/s/ Gary E. Haroian (By: /s/ Stephen Ferruolo (Attorney-In-Fact))		1	1/01/200)6
**Signature of Reporting Person				
Explanation of Responses:				

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4.

Code

(Instr. 8)

Code V

Α

Execution Date, if

(Month/Day/Year)

5. Number

Securities

Acquired

Disposed of (D) (Instr. 3, 4, and 5)

(A) or

(A)

5,000

(D)

Transaction of Derivative Expiration Date

6. Date Exercisable and

Expiration

10/30/2013

Date

(Month/Day/Year)

Date

Exercisable

(1)

7. Title and Amount of 8. I

Amount or

Number

Shares

5,000

\$

of

De

Sec

(In

Underlying Securities

(Instr. 3 and 4)

Title

Common

Stock

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

3. Transaction Date 3A. Deemed

any

(Month/Day/Year)

10/30/2006

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Vesting of the stock option will be in equal quarterly installments for a period of three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

1. Title of

Security

(Instr. 3)

Stock Option

(right to

buy)

2.

or Exercise

Derivative

\$ 8.27

Reporting Owners

Price of

Security

Derivative Conversion