BROWNING STUART E Form 4

Form 4 February 06, 2003 SEC Form 4

FORM	14	UNITI	ED STATES SECURIT COMMISS	OMB APPROVAL			
[] Check this bo longer subject to Sectio 4 or Form 5 obligations ma See Instruction 1	on 16. Form ly continue.	_	Washington, D.C EMENT OF CHANGES IN E nt to Section 16(a) of the Secur 17(a) of the Pub	OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response 0.5			
		Holding Com	pany Act of 1935 or Section 30(1940				
1. Name and Add Browning, Stuar		rting Person*	2. Issuer Name and Ticker or Trading Symbol	4. Statement for (Month/Day/Year	ationship of Reporting n(s) to Issuer (Check all applicable)		
(Last) c/o Embarcadero 425 Market Stree		ies, Inc	Embarcadero Technologies, Inc EMBT	02/04/2003	_ Offic	ctor X 10% Owner er (give title below) _ Other y below)	
San Francisco,	(Street) CA 94105		3. I.R.S. Identification Number of Reporting Person, if an entity	Date of Original (Month/Day/Year)	Descri	ption /idual or Joint/Group	
(City)	(State)	(Zip)	(voluntary)		Filin <u>X</u> For Persor _ For	g (Check Applicable Line) m filed by One Reporting	

		Tε	able I - N	on-Derivat	ive Sec	uriti	es Acquir	ed, Di	sposed	of, or Beneficia	ally Owned			
1. Title of Security (Instr. 3) 2.Transaction Date (Month/Day/Year)		(ear)	2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)				Of (D)	5. Amount of Securities Beneficially Owned Following	Direct (D)	Indirect Beneficial Ownership		
					Code	v	Amount	A/D	Price	Reported Transaction(s) (Instr. 3 and 4)	´ (I)	(Instr. 4)		
Common Stock	on 02/04/2003				D	$\left[\right]$	4,300	D	\$7.00	3,335,615	D			
										sposed of, or B s, convertible se	•	wned		
1. Title of Derivative Security (Instr. 3)	sion or Exercise Price of Deri-	3. Trans Date (Mont Day/ Year)	ansaction 3A. Deemed Execution Date, if any		4. Transactio Code (Instr.8)		iohNumber of Derivati 3) Secu Acquire (A) or	NumberExercisable of and Derivative Expiration SecurDiasse(ED) Acquired (Month/D4 (A)		Bunt of Underlying curities (Instr. 3 and	8. Price of Derivative Security (Instr.5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr.4)	10. Owner- ship Form of Deriv- ative Securities: Direct (D) or	11. Na In Be O' (Ir

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				Of (D) (Inst 3, 4 and 5)		r.					Indirect (I) (Instr.4)	
			Code	V	A	D	DE	ED	Title	Amount or Number of Shares		

Explanation of Responses:

/s/ Stuart Browning

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v). ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.

Date:

02/06/2003

SEC 1474 (9-02)