WONG DENNIS J Form 4 February 04, 2003

SEC Form 4

## FORM 4

[ ] Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility

Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of

1940

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response.....0.5

1. Name and Add Wong, Dennis	dress of Reportin	ng Person*	Issuer Name     and Ticker or Trading     Symbol	4. Statement for (Month/Day/Year	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
(Last) c/o Embarcader 425 Market St. S	•	s, Inc	Embarcadero Technologies, Inc EMBT	01/31/2003  5. If Amendment,	_ Director X 10% Owner _ Officer (give title below) _ Other (specify below)
San Francisco,	(Street) n Francisco, CA 94105		3. I.R.S. Identification Number of Reporting Person, if an entity	Date of Original (Month/Day/Year)	Description  7. Individual or Joint/Group
(City)	(State)	(Zip)	(voluntary)	01/31/2003	Filing (Check Applicable Line)
					X Form filed by One Reporting Person Form filed by More than One Reporting Person

	T	able I - Non-Derivat	ive Sec	uriti	es Acquir	ed, Dis	sposed	of, or Beneficiall	y Owned	
1. Title of Security (Instr. 3)	2.Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Cod (Inst 8)	е	4. Securit n(A) or Dis (Instr. (	posed	Of (D)	5. Amount of Securities Beneficially Owned Following	6. Owner-ship Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	٧	Amount	A/D	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	01/31/2003		D		20,000	D	7.000	3,412,335	D	
Common Stock								95,000	I	By Ethan Henry Wong 1999 Investment Trust
Common Stock								95,000	ı	By Audrey Rachel Wong 1999 Investment Trust

## Edgar Filing: WONG DENNIS J - Form 4

											,	Beneficially C securities)	)wned		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/ Year)	3A. Deemed Execution Date, if any  (Month/ Day/ Year)	4. Transac Code (Instr	ctior ∴8)	of Der S Acc (A) O Dis Of (D)	rivat Secu quire or pos Inst	and ive Ex InDiedse ed (M	cisab pirati (ED)	Ùn∉ p <b>6</b> ecui	int of derlying rities str. 3 and	8. Price of Derivative Security (Instr.5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr.4)	10. Owner- ship Form of Deriv- ative Securities: Direct (D) or Indirect (I) (Instr.4)	11. N In B O (II
				Code	V	Α	D	DE	ED	Title	Amount or Number of Shares				

**Explanation of Responses:** 

By: Date:

/s/ Dennis J. Wong (By: /s/ Raj P. Sabhlok (Attorney-In-Fact)) 02/04/2003

\*\* Signature of Reporting Person SEC 1474 (9-02)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB Number.

 $<sup>^{\</sup>star}$  If the form is filed by more than one reporting person, see Instruction 4(b)(v).

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).