Tobia Alfred Victor Jr. Form 3 July 21, 2017

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

response... 0.5

(Print or Type Responses)

1. Name and Ad Person * Tobia Alf (Last)  767 THIRD FLOOR  NEW YORK  (City)  1. Title of Secur (Instr. 4)	red Victor (First)  AVENUE, (Street)  Z, NY 1 (State)	Jr. (Middle)		HARTE H.  4. Relationshi Person(s) to I:  (Check  _X_ Director Officer (give title below  Non-Derivator of Securities	anks Inc  ip of Reporting ssuer  all applicable)  all applicable  or 10% Other (specify below)	5. If Amendment, Date Original Filed(Month/Day/Year)  Owner 6. Individual or Joint/Group Filing(Check Applicable Line)
Common Stock, \$1.00 par value			652,447		I	By Sidus Double Alpha Fund, L.P. (1)
Common Stock, \$1.00 par value			315,309	315,309		By Sidus Double Alpha Fund, Ltd. (2)
Common Stock, \$1.00 par value			255,552	255,552		By Sidus Investment Partners, L.P. (3)
Common Stock, \$1.00 par value			326,692		I	By Managed Account of Sidus Investment Management, LLC (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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#### Edgar Filing: Tobia Alfred Victor Jr. - Form 3

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

2. Date Exercisable and 3. Title and Amount of 1. Title of Derivative Security 6. Nature of Indirect 4. 5. Securities Underlying Conversion Ownership Beneficial Ownership (Instr. 4) **Expiration Date** (Month/Day/Year) **Derivative Security** Form of (Instr. 5) or Exercise (Instr. 4) Price of Derivative Derivative Security: Date Expiration Security Direct (D) Date Amount or Exercisable or Indirect Title Number of Shares (Instr. 5)

### **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other
Tobia Alfred Victor Jr.

767 THIRD AVENUE, 15TH FLOOR Â X Â Â

NEW YORK, NYÂ 10017

## **Signatures**

/s/ Robert L. R. Munden, Power of Attorney

07/21/2017

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\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Shares of common stock owned directly by Sidus Double Alpha Fund, L.P. ("Sidus Double Alpha"). The Reporting Person, solely by virtue of his position as a managing member of Sidus Investment Management, LLC ("Sidus Management"), the investment manager of

- (1) Sidus Double Alpha, may be deemed to beneficially own the shares of common stock directly held by Sidus Double Alpha for purposes of Section 16 of the Securities Exchange Act of 1934, as amended ("Section 16"). The Reporting Person expressly disclaims beneficial ownership of such shares of common stock except to the extent of his pecuniary interest therein.
  - Shares of common stock owned directly by Sidus Double Alpha Fund, Ltd. ("Sidus Double Alpha Offshore"). The Reporting Person, solely by virtue of his position as a managing member of Sidus Management, the investment manager of Sidus Double Alpha Offshore,
- (2) may be deemed to beneficially own the shares of common stock directly held by Sidus Double Alpha Offshore for purposes of Section 16. The Reporting Person expressly disclaims beneficial ownership of such shares of common stock except to the extent of his pecuniary interest therein.
- Shares of common stock owned directly by Sidus Investment Partners, L.P. ("Sidus Partners"). The Reporting Person, solely by virtue of his position as a managing member of Sidus Management, the investment manager of Sidus Partners, may be deemed to beneficially own the shares of common stock directly held by Sidus Partners for purposes of Section 16. The Reporting Person expressly disclaims beneficial ownership of such shares of common stock except to the extent of his pecuniary interest therein.
  - Shares of common stock held in an account for which Sidus Management serves as a sub-advisor (the "Managed Account"). The Reporting Person, solely by virtue of his position as a managing member of Sidus Management, the sub-advisor to the Managed Account,
- (4) may be deemed to beneficially own the shares of common stock directly held in the Managed Account for purposes of Section 16. The Reporting Person expressly disclaims beneficial ownership of such shares of common stock except to the extent of his pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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