### CUBIC CORP /DE/ Form 3 May 31, 2016 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL

#### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Addres Person <u>*</u> Buss David H		rting	2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name CUBIC CO			ng Symbol
(Last) (Fi 9333 BALBOA	,	(Middle)	05/24/2016	4. Relationship Person(s) to Is			5. If Amendment, Date Original Filed(Month/Day/Year)
	reet)			Director X Officer (give title below	all applicable) 10% ( Other ) (specify belo Vice President		6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person
(City) (Sta	ate)	(Zip)	Table I - N	lon-Derivati	ive Securiti	es Bei	neficially Owned
1.Title of Security (Instr. 4)			2. Amount of Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	1
Common Stock			222		D	Â	
Reminder: Report on owned directly or inc	directly. Person informa	s who resp ition conta	ch class of securities benefici bond to the collection of ined in this form are not nd unless the form displ		EC 1473 (7-02)	)	
Table	current	ly valid OM	IB control number.		warrants, opt	ions, c	onvertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

3235-0104

January 31,

2005

0.5

Number:

Expires:

response...

Estimated average burden hours per

### Edgar Filing: CUBIC CORP /DE/ - Form 3

				Shares		(I) (Instr. 5)	
Restricted Stock Units	(2)	(2)	Common Stock	1,179	\$ <u>(1)</u>	D	Â
Restricted Stock Units	(3)	(3)	Common Stock	1,148	\$ <u>(1)</u>	D	Â
Restricted Stock Units	(4)	(4)	Common Stock	1,148	\$ <u>(1)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director 10% Owner Officer		Officer	Other		
Buss David H. 9333 BALBOA AVENUE SAN DIEGO, CA 92123	Â	Â	Senior Vice President	Â		
Signatures						
Angela L. Hartley, Attorney-in- H. Buss	05/31/2016					

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit ("RSU") represents a contingent right to receive one share of CUB stock
- RSUs granted to the Reporting Person on July 1, 2015. The remaining 1,179 shares from such grant shall vest in 3 equal installments on October 1,2016, 2017 and 2018, subject to the Reporting Person's continuous service through each applicable vesting date.
- (3) RSUs granted to the Reporting Person on November 6, 2015. The shares from such grant shall vest in 4 equal installments on October 1, 2016, 2017, 2018 and 2019, subject to the Reporting Person's continuous service through each applicable vesting date.

The performance-based RSUs vest on the date the Board of Directors certifies CUB's sales growth, EBITDA growth, and return on equity for the three-year performance period ending on September 30, 2018, subject to the Reporting Person's continued service with CUB

(4) through such vesting date, except as otherwise provided in the applicable RSU agreement. The RSUs vest based 40% on sales growth, 40% on EBITDA growth, and 20% on the return on equity achieved by CUB during such performance period. Dividend equivalent rights accrue with respect to the RSUs when and as dividends are paid on CUB common stock and vest proportionately with the RSUs to which they relate. Vested shares and accrued dividends will be delivered to the Reporting Person following the vesting date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.