

LIQUIDITY SERVICES INC  
Form 4  
October 03, 2014

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Williams James Edwin

2. Issuer Name and Ticker or Trading Symbol  
LIQUIDITY SERVICES INC  
[LQDT]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)  
  
\_\_\_\_ Director  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
VP, Gen. Counsel & Secretary

(Last) (First) (Middle)

C/O LIQUIDITY SERVICES, INC., 1920 L STREET, N.W., 6TH FLOOR

3. Date of Earliest Transaction (Month/Day/Year)  
10/01/2014

(Street)  
  
WASHINGTON, DC 20036

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
			Code	V	Amount	(D)	Price
Common Stock	10/01/2014		S		4,216	D	\$ 12.88
					700	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price or Value of Underlying Securities (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option	\$ 9.05					<u>(1)</u>	10/01/2019	Common Stock	508
Employee Stock Option	\$ 15.47					<u>(2)</u>	10/01/2020	Common Stock	2,706
Employee Stock Grant	\$ 15.47					<u>(3)</u>	10/01/2020	Common Stock	2,764
Employee Stock Grant	\$ 31.11					<u>(4)</u>	10/01/2021	Common Stock	897
Employee Stock Option	\$ 31.11					<u>(5)</u>	10/01/2021	Common Stock	5,950
Employee Stock Grant	\$ 38.09					<u>(6)</u>	10/01/2022	Common Stock	1,377
Employee Stock Grant	\$ 38.09					<u>(7)</u>	10/01/2022	Common Stock	2,753
Employee Stock Option	\$ 38.09					<u>(8)</u>	10/01/2022	Common Stock	1,835
Employee Stock Option	\$ 38.09					<u>(9)</u>	10/01/2022	Common Stock	1,835
Employee Stock Grant	\$ 21.99					<u>(10)</u>	10/01/2023	Common Stock	2,828
Employee Stock	\$ 21.99					<u>(11)</u>	10/01/2023	Common Stock	3,769



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