Summit Midstream Partners, LP Form 4

March 19, 2014

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **SUMMIT MIDSTREAM** 

PARTNERS HOLDINGS, LLC

2. Issuer Name and Ticker or Trading

Symbol

Summit Midstream Partners, LP

[SMLP]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

SEE REMARKS

(Last)

(First)

(Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

03/17/2014

\_X\_\_ Director X\_\_ 10% Owner Other (specify Officer (give title below)

C/O SUMMIT MIDSTREAM PARTNERS LP, 2100 MCKINNEY **AVENUE, SUITE 1250** 

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting Person

DALLAS, TX 75201

(City) (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

(A)

or

(D)

D

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities Acquired (A) or TransactionDisposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

of Indirect Ownership Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4) (I)

7. Nature

Reported Transaction(s) (Instr. 4)

(Instr. 3 and 4)

**COMMON** 

UNITS (LIMITED

03/17/2014

S 5,050,000

Amount

Code V

\$ 37.4325 9,641,397

D

**PARTNER** INTERESTS)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control

Price

(1)

1

(9-02)

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### number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. DiNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address		Relationships						
Reporting Owner Name / Maress	Director	10% Owner	Officer	Other				
SUMMIT MIDSTREAM PARTNERS HOLDINGS, LLC C/O SUMMIT MIDSTREAM PARTNERS LP 2100 MCKINNEY AVENUE, SUITE 1250 DALLAS, TX 75201	X	X		SEE REMARKS				
SUMMIT MIDSTREAM PARTNERS, LLC 2100 MCKINNEY AVENUE, SUITE 1250 DALLAS, TX 75201	X	X						
SUMMIT MIDSTREAM GP, LLC C/O SUMMIT MIDSTREAM PARTNERS, LLC 2100 MCKINNEY AVENUE, SUITE 1250 DALLAS, TX 75201		X						
ENERGY CAPITAL PARTNERS II, LLC 51 JOHN F. KENNEDY PARKWAY, SUITE 200 SHORT HILLS, NJ 07078	X	X						
ENERGY CAPITAL PARTNERS II, LP 51 JOHN F. KENNEDY PARKWAY, SUITE 200 SHORT HILLS, NJ 07078		X						
ENERGY CAPITAL PARTNERS II-A, LP 51 JOHN F. KENNEDY PARKWAY, SUITE 200 SHORT HILLS, NJ 07078		X						
ENERGY CAPITAL PARTNERS II-B IP, LP 51 JOHN F. KENNEDY PARKWAY, SUITE 200		X						

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SHORT HILLS, NJ 07078

ENERGY CAPITAL PARTNERS II-C (SUMMIT IP), LP 51 JOHN F. KENNEDY PARKWAY, SUITE 200 SHORT HILLS, NJ 07078

X

Energy Capital Partners II (Summit Co-Invest), LP 51 JOHN F. KENNEDY PARKWAY, SUITE 200 SHORT HILLS, NJ 07078

X

## **Signatures**

/s/ Brock M. Degeyter, Senior Vice President, General Counsel and Secretary, Summit Midstream Partners Holdings, LLC

03/19/2014 Date

\*\*Signature of Reporting Person

/s/ Steven J. Newby, President and Chief Executive Officer, Summit Midstream Partners, LLC

03/19/2014

\*\*Signature of Reporting Person

Date

/s/ Steven J. Newby, President and Chief Executive Officer, Summit Midstream GP, LLC

03/19/2014

\*\*Signature of Reporting Person

Date

Energy Capital Partners II, LP; By: Energy Capital Partners GP II, LP, its General Partner; By: Energy Capital Partners II, LLC, its General Partner; By: /s/ Christopher M. Leininger, Deputy General Counsel

03/19/2014

\*\*Signature of Reporting Person

Date

Energy Capital Partners II-A, LP; By: Energy Capital Partners GP II, LP, its General Partner; By: Energy Capital Partners II, LLC, its General Partner; By: /s/ Christopher M. Leininger, Deputy General Counsel

03/19/2014

\*\*Signature of Reporting Person

Date

Energy Capital Partners II-B IP, LP; By: Energy Capital Partners GP II, LP, its General Partner; By: Energy Capital Partners II, LLC, its General Partner; By: /s/ Christopher M. Leininger, Deputy General Counsel

03/19/2014

\*\*Signature of Reporting Person

Date

Energy Capital Partners II-C (Summit IP), LP; By: Energy Capital Partners GP II, LP, its General Partner; By: Energy Capital Partners II, LLC, its General Partner; By: /s/ Christopher M. Leininger, Deputy General Counsel

03/19/2014

\*\*Signature of Reporting Person

Date

Energy Capital Partners II (Summit Co-Invest), LP; By: Energy Capital Partners GP II Co-Investment (Summit), LLC, its General Partner; By: Energy Capital Partners II, LLC, its Managing Member; By: /s/ Christopher M. Leininger, Deputy General Counsel

03/19/2014

\*\*Signature of Reporting Person

Date

/s/ Christopher M. Leininger, Deputy General Counsel, Energy Capital Partners II, LLC

03/19/2014

\*\*Signature of Reporting Person

Date

Signatures 3

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - On March 17, 2014, (a) the Issuer sold 5,300,000 common units representing limited partner interests in the Issuer ("Common Units") and Summit Midstream Partners Holdings, LLC ("SMPH") sold 5,050,000 Common Units, including 1,350,000 Common Units sold
- (1) pursuant to the underwriters option to purchase additional Common Units, in a public underwritten offering; and (b) the general partner of the Issuer, of which SMPH is the sole owner, purchased 109,198 general partner units to maintain its 2.0% general partner interest in the Issuer.

#### **Remarks:**

Energy Capital Partners II, LLC ("ECP"), Energy Capital Partners II, LP ("ECP II"), Energy Capital Partners II-A, LP ("ECP II")

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.